BEFORE THE BOARD OF DISCIPLINE

THE INSTITUTE OF COMPANY SECRETARIES OF INDIA

ICSI/DC: 179/2012

In the matter of complaint of professional or other misconduct filed by Shri M R Kulkarni against Shri Rudra Narayana Paul, ACS-22199 (CP No.-9772).

Date of Decision: 9th January, 2014

Coram: Sudhir Babu C, Presiding Officer

Sutanu Sinha, Member

ORDER

- 1. The Board of Discipline on 30th November, 2013 noted that neither party has appeared before the Board. The Board after detailed deliberations in the matter and considering the material on record; nature of issues involved and in totality of the circumstances of this case; concluded that the Respondent is 'Guilty' of professional misconduct under clause (8) of Part 1 of the First Schedule of the Company Secretaries Act, 1980 as he had issued the Compliance Certificate for the year ended 31st March, 2012 to M/s. Vinodrai Engineers Pvt. Ltd., back dated and that too without first communicating with the Complainant in writing as he had carried out the previous professional assignment of M/s. Vinodrai Engineers Pvt. Ltd., from the year 2000 till 2011. The Board affords an opportunity of being heard to the Respondent before passing any order under Section 21A (3) of the Company Secretaries Act, 1980.
- A copy of the Order dated 30th November, 2013 passed by the Board of Discipline was sent to the Respondent vide letter dated 10th December, 2013. He has been called upon to appear before the Board on 9th January, 2014.
- 3. The Board of Discipline at its meeting on 9th January, 2014 noted that neither the Complainant nor the Respondent appeared before the Board.



Sulam Sinha



The Board after detailed deliberations on the matter, and considering the material on record; nature of issues involved and in totality of the circumstances of this case, passed the following order.

(1) Reprimand; and (2) Fine of Rs. 1000/- (Rupees One thousand)

The order shall be effective after the expiry of 30 days of issue of this order.

(Sutanu Sinha)

Member

(Sudhir Babu C)

Presiding Officer

Date: 9th January, 2014

