

**THE BOARD OF DISCIPLINE  
THE INSTITUTE OF COMPANY SECRETARIES OF INDIA  
IN THE MATTER OF COMPLAINT OF PROFESSIONAL OR OTHER MISCONDUCT  
UNDER THE COMPANY SECRETARIES ACT, 1980**

**Complaint (DF) 01 of 2016**

**Order reserved on : 9<sup>th</sup> April 2018**

**Order issued on : 3<sup>rd</sup> May, 2018**

**M/s Sona Technology Inc  
through Shri Pramod Ramdas Narkhede**

**.....Complainant**

**Vs.**

**Shri S. Swaminathan, FCS-3635, CP No. 4581**


**.....Respondent**

**Present:**


**Mrs. Meenakshi Gupta, Director (Discipline)**

**ORDER**

Pursuant sub-rule (7)(a) of Rule 5 of the Company Secretaries (Procedure of Investigations of Professional and Other Misconduct and Conduct of Cases) Rules, 2007, (hereinafter referred to as 'the Rules') the Board of Discipline agrees with the opinion dated 4<sup>th</sup> April 2018 of Director (Discipline) and passes the order for closure of the complaint dated 28<sup>th</sup> April 2016 filed by M/s Sona Technology Inc, through Shri Pramod Ramdas Narkhede against Shri S. Swaminathan, FCS-3635, CP No. 4581 on the account of failure of the Complainant to rectify the defects within the stipulated time allowed under sub-rule (5) of Rule 5 of the Rules .

  
**CS Dinesh Chandra Arora**  
Member



  
**CS Atul H Mehta**  
Presiding Officer