

BEFORE THE BOARD OF DISCIPLINE

THE INSTITUTE OF COMPANY SECRETARIES OF INDIA

ICSI/DC: 139/2012

In the matter of complaint of professional or other misconduct filed by Shri Abbas Lakdawalla, FCS-2988 (CP No. 4052) against Shri Punit Kumar Goyal, ACS-26378 (CP No. 9503).

Date of Decision: 30th November, 2013

Coram: Sudhir Babu C, Presiding Officer
Umesh H Ved, Member
Sutanu Sinha, Member

ORDER

1. A complaint in Form I dated 21st May, 2012 was filed under Section 21 of the Company Secretaries Act, 1980 read with sub-rule (1) of Rule 3 of the Company Secretaries (Procedure of Investigations of Professional and other Misconduct and Conduct of Cases) Rules, 2007 (the Rules) by Shri Abbas Lakdawalla, FCS -2988 (CP No. 4052) (hereinafter referred to as the 'Complainant') against Shri Punit Kumar Goyal, ACS – 26378 (CP No. 9503)(hereinafter referred to as the 'Respondent').
2. The Complainant has *inter-alia* stated that the Respondent had undergone training with him as a Management Trainee in the year 2009-10 and he had not only trained the Respondent but also financially supported him as he was away from his family. The Complainant has *inter-alia* alleged that during the course of the training the Respondent had the opportunity to interact with lots of his clients and on the basis of the privileged information, the Respondent has indirectly contacting his clients to procure the



Sudhir Babu C
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Umesh H Ved

Sutanu Sinha

