**EXECUTIVE PROGRAMME**

**(NEW SYLLABUS)**

**UPDATES FOR**

**SECURITIES LAWS AND CAPITAL MARKETS**

**(Relevant for students appearing in June, 2019 Examination)**

**MODULE 2- PAPER 6**

*Disclaimer-*

*This document has been prepared purely for academic purposes only and it does not necessarily reflect the views of ICSI. Any person wishing to act on the basis of this document should do so only after cross checking with the original source.*

***Students appearing in June 2019 Examination shall note the following:***

*Students are also required to update themselves on all the relevant Notifications, Circulars, Clarifications, etc. issued by the SEBI, RBI & Central Government on or before six months prior to the date of the examination.*

*It may also be noted that for June 2019 Examination the following new Regulations will be applicable:*

*1. SEBI ((Issue of Capital and Disclosure Requirements) Regulations, 2018*

*2. SEBI (Buy-Back of Securities) Regulations, 1998*

*3. SEBI* *(Depositories and Participants) Regulations, 2018*

***These Updates are to facilitate the students to acquaint themselves with the amendments in securities laws upto November, 2018, applicable for June, 2019 Examination. The students are advised to read the updated Study Material (April 2018 Edition) along with these Updates.***

***In the event of any doubt, students may write to the Institute for clarifications at*** ***academics@icsi.edu***

**Lesson 3- Depositories Act, 1996**

<https://www.sebi.gov.in/legal/regulations/oct-2018/securities-and-exchange-board-of-india-depositories-and-participants-regulations-2018_40622.html>

**Lesson 4- An Overview of SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018**

<https://www.sebi.gov.in/legal/regulations/sep-2018/securities-and-exchange-board-of-india-issue-of-capital-and-disclosure-requirements-regulations-2018-_40328.html>

**Lesson 5- An Overview of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

<https://www.sebi.gov.in/legal/regulations/jun-2018/securities-and-exchange-board-of-india-listing-obligations-and-disclosure-requirements-third-amendment-regulations-2018_39204.html>

<https://www.sebi.gov.in/legal/regulations/jun-2018/securities-and-exchange-board-of-india-listing-obligations-and-disclosure-requirements-fourth-amendment-regulations-2018-_39263.html>

<https://www.sebi.gov.in/legal/regulations/sep-2018/securities-and-exchange-board-of-india-listing-obligations-and-disclosure-requirements-fifth-amendment-regulations-2018_40329.html>

<https://www.sebi.gov.in/legal/regulations/nov-2018/securities-and-exchange-board-of-india-listing-obligations-and-disclosure-requirements-sixth-amendment-regulations-2018_41051.html>

**Lesson 6- An Overview of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

<https://www.sebi.gov.in/legal/regulations/jun-2018/securities-and-exchange-board-of-india-substantial-acquisition-of-shares-and-takeovers-amendment-regulations-2018_39191.html>

<https://www.sebi.gov.in/legal/regulations/sep-2018/securities-and-exchange-board-of-india-substantial-acquisition-of-shares-and-takeovers-second-amendment-regulations-2018_40332.html>

<https://www.sebi.gov.in/legal/regulations/oct-2018/securities-and-exchange-board-of-india-substantial-acquisition-of-shares-and-takeovers-regulations-2011-last-amended-on-september-11-2018-_40714.html>

**Lesson 7- SEBI (Buy-Back of Securities) Regulations, 1998**

<https://www.sebi.gov.in/legal/regulations/sep-2018/securities-and-exchange-board-of-india-buy-back-of-securities-regulations-2018_40327.html>

**Lesson 8- SEBI (Delisting of Equity Shares) Regulations, 2009**

<https://www.sebi.gov.in/legal/regulations/jun-2018/securities-and-exchange-board-of-india-delisting-of-equity-shares-amendment-regulations-2018_39190.html>

<https://www.sebi.gov.in/legal/regulations/nov-2018/securities-and-exchange-board-of-india-delisting-of-equity-shares-second-amendment-regulations-2018_41046.html>

**Lesson 12-Mutual Funds**

<https://www.sebi.gov.in/legal/regulations/jun-2018/securities-and-exchange-board-of-india-mutual-funds-regulations-1996-last-amended-on-december-06-2018-_39245.html>

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