

APPENDIX IV

Recognitions Secured for Company Secretaries in Practice

<i>Sl. No.</i>	<i>Statute/Authority</i>	<i>Purpose</i>	<i>When Obtained</i>
I. COMPANY LAW			
1.	The Companies Act and Rules made thereunder	<p>a) Company Secretary in Practice" means a Company Secretary who is deemed to be in practice under subsection (2) of section 2 of the Company Secretaries Act, 1980. {Section 2(25)}</p> <p>b) To certify whether the merger and amalgamation scheme is being complied with in accordance with the orders of the Tribunal or not. {Section 232 (7)}</p> <p>c) Authorised to conduct internal audit of companies on 31st March, 2016 (Section 138).</p> <p>d) To be appointed as an expert {Section 2(38)}</p> <p>e) To be appointed as Technical Member of NCLT (Section 409).</p>	12 September, 2013
2.	Companies (Incorporation) Rules, 2014	a) To make declaration that all the requirements of the Companies Act, 2013 and the rules made	1 st April, 2014

		<p>thereunder in respect of registration and matters precedent or incidental thereto have been complied with. {Section 7(1)(b) & (b) Rule 14 of the Companies (Incorporation) Rules, 2014}</p> <p>b) To make declaration that the draft memorandum and articles of association have been drawn up in conformity with the provisions of Section 8 of the Companies Act, 2013 and rules made thereunder and that all the requirements of the Act and the rules made thereunder relating to registration of the company under section 8 and matters incidental or supplemental thereto have been complied with. [Section 8 read with Rule 19 of the Companies (Incorporation) Rules, 2014]</p>	
3	Companies (Share Capital and Debenture) Rules, 2014	To certify that the buy back of securities has been made in compliance with the provisions of the Act and rules made thereunder. [Sub rule (14) of rule 17 of Companies (Share Capital and Debenture) Rules, 2014.	1 st April, 2014
4.	Companies (Appointment and Remuneration of	To issue Secretarial Audit Report to every listed company and every public	1 st April, 2014

	Managerial Personnel) Rules, 2014	company having a paid-up share capital of fifty crore rupees or more; or every public company having a turnover of two hundred fifty crore rupees or more. {Section 204 & Rule 9 of Companies (Appointment and Remuneration Personnel) Rules, 2014}	
5	Companies (Management and Administration) Rules, 2014	<p>a) To certify the annual return of a listed company or a company having paid up share capital of ten crore rupees or more or turnover of fifty crore rupees or more. {Section 92(2) read with Rule 11 of the Companies (Management and Administration) Rules, 2014}</p> <p>b) To be appointed as a scrutinizer in every listed company or a company having not less than one thousand shareholders to scrutinize the Administration) Rules, e-voting process in a fair and transparent manner [Rule 20 of Companies (Management and Administration) Rules, 2014]</p>	1 st April, 2014
6	Nidhi Rules, 2014	<p>a) To certify half yearly return {Rule 21}.</p> <p>b) To Certify return of Statutory compliances</p>	1 st April, 2014

		with the Registrar filed by Nidhi. [Section 406 read with rule 5 of Nidhi Rules, 2014.	
7	Companies (Issue of Global Depository Receipts) Rules, 2014]	To oversee all the compliances relating to issue of depository Global Depository receipts and to provide compliance report to be placed at the Receipts) Rules, 2014 meeting of Board of Directors. [Rule 4 of the Companies (Issue of Global Depository Receipts) Rules, 2014]	1 st April, 2014
8	Companies (Registration Offices and Fees) Rules, 2014	Precertification of e-forms	1 st April, 2014
9.	Limited Liability Partnership Rules, 2009	Filing of LLP forms	1 st April, 2009
10.	National Company Law Tribunal Rules, 2016	To act as authorised representative before the Tribunal (Rule 45)	21 July, 2016
11.	National Company Law Appellate Tribunal Rules, 2016	To act as authorised representative before the NCLAT (Section 432 read with Rule 63 of National Company Law Appellate Tribunal Rules, 2016	21 July, 2016
12.	Insolvency and Bankruptcy Code, 2016	To act as an Insolvency Professional subject to their membership with IPA & IBBI	28 th May, 2016
13.	Companies (Registered Valuers and Valuation) Rules, 2017	To act as Registered Valuer [Section 247 of Companies Act, 2013 read with Rule 4 of the Companies (Registered Valuers and Valuation) Rules, 2017	18 th October, 2017

III. SECURITIES LAWS AND CAPITAL MARKETS			
14.	The Securities and Exchange Board of India Act, 1992. [Section 15V, Explanation (b)]	To appear as authorised representative before the Securities Appellate Tribunal	December 1999
15.	The Depositories Act, 1996 [Section 23C, Explanation (b)]	To appear as authorised representative before the Securities Appellate Tribunal	December, 1999
16.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [Circular No. CIR/CFD/CMD1/27/2019 dated 8 th Feb, 2019].	To issue Annual Secretarial Compliance Report to Listed entities (whose equity shares are listed)	Feb, 2019
17.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [Schedule V (10)(i)].	To certify that none of the directors' of the company are disqualified to act as director under any law	April, 2019
18.	Regulation 40 (9) of SEBI, (Listing Obligations and Disclosure Requirements) Regulations, 2015	The share transfer agent and/or the in-house share transfer facility as the case may be, produces a certificate from a Practising Company Secretary within one month of the end of each half of the financial year, certifying that all certificates have been issued within thirty days of the date of lodgement for transfer, subdivision,	02 September, 2015

		consolidation, renewal, exchange or endorsement of calls / allotment services.	
19.	Regulation 56(1)(d) of SEBI (Listing obligations and Disclosure Requirements) Regulations, 2015	A half yearly certificate regarding maintenance of hundred percent, asset cover in respect of listed non convertible debt securities by either a Practicing Company Secretary or a Practicing Chartered Accountant along with the half yearly financial results.	02 September, 2015
20.	Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	Every Listed entity and its material unlisted subsidiaries incorporated in India shall under take Secretarial Audit and shall annex with its Annual report, a Secretarial Audit Report given by a Company Secretary in Practice in such form as may be specified with effect from the year ended March 31,2019	9 th May, 2018
21.	SEBI (Investment Advisers) Regulations ,2013 [Regulation 19(3)]	To issue certificate of compliance to an investment adviser under SEBI (Investment Advisers) Regulations, 2013	
22.	Schedule V, Clause E of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	Compliance Certificate regarding compliance of conditions of Corporate Governance to be annexed with the director's report.	2 nd , September 2015
23.	Regulation 25(3) of SEBI (Research Analysts)	To conduct annual audit of Research analyst or research entity in respect of	1st September, 2014

	Regulations, 2014	Compliance with these regulations.	
24.	Regulation 76 of SEBI (Depositories Participants Regulations, 2018	To issue quarterly certificate with regard to reconciliation of the total) issued capital, listed capital and capital held by depositories in dematerialized form, details of changes in share capital during the quarter, and in-principle approval obtained by the issuer from all the stock exchanges where it is listed in respect of such further issued capital.	02 September, 2003
25.	SEBI's Circular SEBI/HO/MIRSD/CIR/P/2018/73	To conduct internal audit of Registrar and Share Transfer Agent	20 th April, 2018
26.	SEBI's Circular IMD/PMS/CIR/1/21727/03	To conduct internal audit of Portfolio Managers	18 th November, 2003
27.	SEBI's Circular MRD/ DMS/CIR-29/2008	To conduct internal audit of Stock Brokers / Trading Members / Clearing Members	21 October, 2008
28.	SEBI's Circular SEBI/ MIRSD/CRA/Cir-01/2010	To conduct internal audit for Credit Rating Agencies (CRAs)	06 January, 2010
29.	National Securities Depositories Limited (NSDL)	Conduct of internal audit of operations of the Depository Participants, at intervals of not more than three months and furnish a copy of the internal audit report to the depository.	March, 1999

30.	NSDL Circular No. NSDL / POLICY/2006/00 21	Concurrent Audit in case of Demat Account opening, Control and Verification of Delivery Instruction Slips.	June, 2006
31.	Central Depository Services (India) Limited (CDSL)	(i) Conduct of Internal Audit of operations of the Depository Participants at such intervals as may be specified by CDSL from time to time and furnish a copy of the internal audit report to CDSL. (ii) For empanelment by CDSL as auditors for conduct of audit of the records of the participants in so far as the records and operations relate to CDSL.	September, 1999
32.	(A) Securities Contracts (Regulation) Act, 1956; and Securities Contracts (Regulation) Rules, 1957 [Section 22C, Explanation (b)] (Guideline No. F1/8/SE/82 dt. 20.8.1982).	(i) To appear as authorized representative before the Securities Appellate Tribunal	December, 1999 August, 1982
33.	SEBI Circular No.CFD/ DIL3/CIR/P/2 016/53	Certification regarding Issuance of securities to more than 49 and up to 200 investors in case of deemed public issue	May 3, 2016
34.	SEBI Notification No.SEBI /	To act as a financial valuer under SEBI (Infrastructure	November 30,

	LAD/NRO/ GN2016-17/021	Investment Trusts) Regulations, 2014	2016
35.	SEBI Notification No. SEBI /LAD/NRO/ GN2016-17/022	To act as a financial valuer under SEBI (Real Estate Investment Trusts) Regulations, 2014	November 30, 2016
III. Bombay Stock Exchange Ltd.			
36.	Net worth Certificate	Certification for Network to be submitted by all active members.	
37.	Listing of IPO	Certification for allotment, and share certificates corresponding to equity securities under lock in with non-transferability condition. [Source: www.bseindia.com]	
38.	Forfeiture of securities	Certification that the company had duly complied with the provisions contained in the company's Memorandum and Articles of Association and the Companies Act, 2013.[Source:www.bseindi a.com]	
39.	Listing of Non- Convertible Debentures pursuant to Public Issue	Certification for allotment that it has been made as per the basis of allotment approved by the designated stock exchange. [Source: www.bseindia.com]	
40.	Revocation of suspension in trading of equity shares	Certification for compliance w.r.t. Regulations 17-27 of the SEBI Listing Regulations. [Source : www.bseindia. com]	

41.	Listing on the BSE Hi- Tech (Institutional Trading Platform)	<p>i) A certificate from Statutory Auditor / Practicing Company Secretary confirming compliance with Corporate Governance requirements in accordance with Clause 42 of the ITP Listing Agreement. [Source:www.bseindia.com]</p>	
42.	Listing on the BSE-SME Platform	<p>i) Certification for following matters –</p> <ul style="list-style-type: none"> a. The Company has not been referred to the Board for Industrial and Financial Reconstruction (BIFR). b. There is no winding up petition against the company, which has been admitted by the court or a liquidator has not been appointed. c. There has been no change in the promoter/s of the Company in the preceding one year from date of filing application. <p>ii) Certification for following matters –</p> <ul style="list-style-type: none"> a. A statement of material contracts duly certified by a practicing 	

		chartered Accountant/ practicing Company Secretary. [Source:www.bseindia.com]	
43.	Qualified Institutions Placement (QIPs)	<p>I. Pre allotment Certification for Networth together with related workings of the company based on the audited balance sheet of the previous financial year.</p> <p>II. Post allotment Certification for confirming the floor price has been based on the pricing formula prescribed under Chapter VIII of SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009 and receipt of funds against the placement of securities with QIBs. [Source:www.bseindia.com]</p>	
44.	In -principle approval	Certification for issuance of securities issued on a preferential basis under Regulation 28(1) of the SEBI Listing Regulations.[Source:www.bseindia.com]	
45.	Granting listing approvals	Certification for the equity shares issued on a preferential basis- Post issues. [Source: www.bseindia.com]	

46.	Listing of equity share issued pursuant to exercise of options granted under ESPS/ ESOS/SARS/GEB S/RBS	Certification for receipt of money and quarterly certification that the company has received the application/allotment money from the applicants. [Source:www.bseindia.com]	
47.	Listing of securities issued pursuant to the Rights issue	Certification for allotment has been done as per basis of allotment approved by the designated stock exchange. [Source: www.bseindia.com]	
48.	Listing approval for Bonus shares	Certification for compliance with the SEBI (ICDR) Regulations, 2009. [Source: www.bseindia.com]	
III. National Stock Exchange Ltd.			
49.	NSE Circular No. 541 Ref. NS E/ME M/7835	Certification for :- i) Details of director/proprietor in format C-3 of Annual Return submitted by Trading Member to the Stock Exchange. ii) Details of share-holding pattern/ sharing pattern of corporates in format C-6 of Annual Return submitted by Trading Member to the Stock Exchange. iii) Details of share-holding pattern/ sharing pattern of firms in format C-6 of	

		<p>Annual Return submitted by Trading Member to the Stock Exchange.</p> <p>iv) Details of Dominant group of Corporates in format C-7 of Annual Return submitted by Trading Member to the Stock Exchange.</p> <p>v) Undertaking from Relative of Persons constituting Dominant Promoter Group in format C-8 of Annual Return submitted by Trading Member to the Stock Exchange.</p> <p>vi) Undertaking from corporates supporting Dominant Promoter Group in format C-8 of Annual Return submitted by Trading Member to the Stock Exchange. [Source: www.nseindia.com]</p>	
50.	Promoter reclassification	<p>Certification for Reclassification of promoters as public shareholders. [Source: www.nseindia.com]</p>	
51.	Name Change	<p>Certification for name change, and provide the detailed bifurcation of income earned by the Company under various activities as suggested by the new name.[Source: www.nseindia.com]</p>	

52.	In principle approval for securities issued underlying GDRs/ADRs	<p>Certification for following confirmations:</p> <p>i) The pricing of the issue along with the detailed working of the same</p> <p>ii) The company has received the entire consideration payable prior to the allotment of shares.[Source: www.nseindia.com]</p>	
53.	Grant of approval under Regulation 37 of the SEBI Listing Regulations,2015	<p>Certification for Networth of the Company pre and post scheme under Sections 101, 391 and 394 of the Companies Act, 1956. [Source: www.nseindia.com]</p>	
54.	Listing of further issue of securities	<p>Certification for lock-in pursuant to scheme of amalgamation / merger / scheme of arrangement etc details (Mentioning the Lock-in date details). [Source: www.nseindia.com]</p>	
55.	Listing of further issue of securities issued as Bonus	<p>Certification for compliance with SEBI (ICDR) Regulations, 2009. [Source: www.nseindia.com]</p>	
56.	Issue of securities under Qualified Institutional Placement (QIP)	<p>Pre allotment Certification for confirming the Networth calculation in case of pre allotment of shares in case of a QIP and IPP.</p>	

57.	Institutional Placement Programme (IPP)	Certification for confirming the calculation of the floor price in case of QIP and IPP.[Source: www.nseindia.com]	
58.	In-principle approval for listing of securities issued under Rights/ Public Issues	Certification for confirming securities under lock-in. [Source: www.nseindia.com]	
59.	Listing of Indian Depository Receipts (IDRs)	Certification for allotment has been made as per the basis of allotment approved by the Designated Stock Exchange. [Source: www.nseindia.com]	
60.	In-principal Approval on Debt Market Segment	Certification for confirmation stating the issuer is compliant with Regulations 17 to 27 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. [Source: www.nseindia.com]	
61.	Listing of shares arising out of Conversion of Debentures/ Warrants/ Notes/Bonds into Equity Shares	Certification for receipt of money at the time of allotment of Convertible Debentures/ Warrants/ Notes, etc. [Source: www.nseindia.com]	
62.	Grant of In-principle approval (Preferential Issue)	Certification confirming: i) The entire pre-preferential holding of the allottee(s) and that the same is in dematerialized form. ii) The Pricing of the issue along with the	

		detailed working of the same. [Source: www.nseindia.com]	
63.	Pre-preferential holding of the allottee/s	<p>Certification for confirming:</p> <p>i) The entire pre-preferential holding of the allottee/s is locked-in for the period starting from relevant date up to a period immediately prior to the allotment.</p> <p>ii) The total equity shares are allotted pursuant to preferential allotment and the date from and upto a period of 1 Year/ 3Years from the date of Latest Trading Approval under which these shares are under lock-in. [Source: www.nseindia.com]</p>	
64.	Listing of shares/ securities issued on Preferential/Private Placement basis in case of allotment under Section 62(3) of Companies Act, 2013.	Certification for a confirmation that the said allotment has been made in accordance with the provisions of section 62(3) of the Companies Act, 2013. [Source: www.nseindia.com]	

V. TAXATION			
65.	Income-tax Act, 1961 and Income-tax Rules, 1962 [section 288(2) and Rule 50 (2A)]	To act as authorised representative before the Income-Tax authorities	July, 1979
66.	Authority for Advance Ruling, (Customs, Central Excise and Service Tax) Procedures Rules, 2005	To act as authorized representative under Rule 2(d)(i)	January 2005
67.	The Customs, Excise and Service Tax Appellate Tribunal under the Customs Act, 1962 [Section 146A(2)(d)] read with Customs (Appeals) Rules, 1982 [Rule 9(c)] and The Central Excise Act, 1944 [Section 35Q(2)(c)] read with Central Excise (Appeals) Rules, 2001 [Rule 12(c)] and Service Tax vide section 83 of Finance Act, 1994	To act as authorized representative before the Customs, Excise and Service Tax Appellate Tribunal	October, 1982

68.	West Bengal Value Added Tax Rules, 2005	Authorized to appear before Appellate and Revisional Board, the Commissioner, the Special Commissioner, the Additional Commissioner or any person appointed to assist the Commissioner on behalf of a dealer [Rule 2 (1)(a)(iv)].	April, 2005
69.	Bihar Value Added Tax Act, 2005	Authorised to appear before VAT authorities appointed under Section 10 or the Tribunal or an Officer of the Bureau of Investigation constituted under Section 86 of the Act [Section 87(d)].	April, 2005
70.	Daman and Diu Value Added Tax Regulation, 2005	Authorised to appear before any VAT authority in connection with any proceedings under this Regulation. [Regulation 82(1)(b)].	
71.	Goa Value Added Tax Act, 2005	Authorised to appear before any VAT authority including the Tribunal in connection with any proceedings under this Act [Section 82(1)(b)].	
72.	Jharkhand Value Added Tax Act, 2005	As a tax Practitioner under Section 2(i) To appear before VAT authorities under Rule 51(1)(c) To conduct VAT Audit under Section 63(1)	
73.	Karnataka Value Added Tax Act, 2003 read with Karnataka	Authorised to appear before any Authority other than the High Court in connection with any proceeding under this Act	

	Value Added Tax Rules, 2005	[Section 86(c) read with Rule 168(2)(c)(iv)(b)]. As a tax practitioner under Rule 34(1) read with Rule 168.	
74.	Kerala Value Added Tax Act, 2003.	Authorized to appear before VAT Authorities in connection with any proceedings under this Act [Section 86 (e).	
75.	Delhi Value Added Tax Act, 2004	Authorize to appear before VAT Authorities under section 82 (1)(b).	
76.	Arunachal Pradesh Goods Tax Act, 2005	To act as authorised representative before VAT authorities [Sec 83(1)(c) read with Rule 78(1)(a) of Arunachal Pradesh Goods Tax Rules, 2005]	
77.	Haryana VAT Act, 2003	To act as authorised representative before VAT authorities [Sec 52(2)(iii) read with Section 288(2)(v) of Income Tax Act, 1961 and Rule 50(2A) of Income Tax Rules, 1962]	
78.	Maharashtra VAT Act, 2002	To act as authorised representative before VAT Authorities [Sec 82(1)(b)]	

79.	Meghalaya VAT Rules, 2005	To act as authorised representative before VAT authorities - Rule 1(f)(iv)	
80.	Rajasthan VAT Rules, 2006	To act as authorised representative before VAT authorities - Rule 62(b) read with Rule 50(2A) of Income Tax Rules, 1962	
81.	Uttar Pradesh VAT Rules, 2005	To act as authorised representative before VAT authorities - Rule 2(e) read with Rule 73	
82.	Gujarat VAT Act, 2005	As a practitioner under Rule 63 (1) and Section 81(1) read with Rules 59(a)	
83.	Draft GST Law	To appear before GST officer or the First Appellate Authority or the Appellate Tribunal as an authorised representative [Sec 86(2)(c)]	
84.	The Central Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 of the Central Goods and Service Tax Act, 2017	July 2017
85.	The Telangana Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Telangana Goods and Services Tax Act, 2017	July 2017
86.	The Bihar Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Bihar Goods and Services Tax Act, 2017	July 2017
87.	The Rajasthan Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Rajasthan Goods and Services Tax Act, 2017	July 2017

88.	The Jharkhand Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Jharkhand Goods and Services Tax Act, 2017	July 2017
89.	The Chhattisgarh Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Chhattisgarh Goods and Services Tax Act, 2017	July 2017
90.	The Uttarakhand Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Uttarakhand Goods and Services Tax Act, 2017	July 2017
91.	The Madhya Pradesh Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Madhya Pradesh Goods and Services Tax Act, 2017	July 2017
92.	The Haryana Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Haryana Goods and Services Tax Act, 2017	July 2017
93.	The Goa Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Goa Goods and Services Tax Act, 2017	July 2017
94.	The Gujarat Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Gujarat Goods and Services Tax Act, 2017	July 2017
95.	The Assam Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Assam Goods and Services Tax Act, 2017	July 2017
96.	The Arunachal Pradesh Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Arunachal Pradesh Goods and Services Tax Act, 2017	July 2017

97.	The Andhra Pradesh Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Andhra Pradesh Goods and Services Tax Act, 2017	July 2017
98.	The Uttar Pradesh Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Uttar Pradesh Goods and Services Tax Act, 2017	July 2017
99.	The Odisha Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Odisha Goods and Services Tax Act, 2017	July 2017
100.	The Maharashtra Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Maharashtra Goods and Services Tax Act, 2017	July 2017
101.	The Tripura State Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Tripura Goods and Services Tax Act, 2017	July 2017
102.	The Sikkim Pradesh Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Sikkim Goods and Services Tax Act, 2017	July 2017
103.	The Mizoram Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Mizoram Goods and Services Tax Act, 2017	July 2017
104.	The Nagaland Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Nagaland Goods and Services Tax Act, 2017	July 2017
105.	The Himachal Pradesh Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Himachal Pradesh Goods and Services Tax Act, 2017	July 2017

106.	The Manipur Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Manipur Goods and Services Tax Act, 2017	July 2017
107.	The Meghalaya Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Meghalaya Goods and Services Tax Act, 2017	July 2017
108.	The Karnataka Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Karnataka Goods and Services Tax Act, 2017	July 2017
109.	The West Bengal Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the West Bengal Goods and Services Tax Act, 2017	August 2017
110.	The Punjab Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Punjab Goods and Services Tax Act, 2017	July 2017
111.	The Tamil Nadu Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Tamil Nadu Goods and Services Tax Act, 2017	July 2017
112.	The Kerala State Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Kerala State Goods and Services Tax Act, 2017	September 2017
113.	The Delhi Goods and Services Tax Act, 2017	Appearance by authorised representative u/s 116 (2)(c) of the Delhi Goods and Services Tax Act, 2017	July 2017
114.	The Puducherry Goods and Services Tax Act	Appearance by authorised representative u/s 116 (2)(c) of the Puducherry Goods and Services Tax Act, 2017	July 2017

115.	The Union Territory Goods and Services Tax Act, 2017 (Applicable to Andaman and Nicobar Islands, Lakshadweep, Dadra and Nagar Haveli, Daman and Diu, Chandigarh and other Territory)	Appearance by authorised representative	July 2017
VI. OTHER LEGISLATIONS			
116.	Competition Act, 2002 [Section 35]	To act as authorised representative before the Competition Commission of India and Competition Appellate Tribunal.	31 March, 2003
117.	Central Electricity Regulatory Commission	To act as authorized representative before the Central Electricity Regulatory Commission.	August, 1999
118.	The Telecom Regulatory Authority of India Act, 1997 [Section 17 and Explanation (b) thereto]	To act as authorized representative before the Telecom Disputes Settlement and Appellate Tribunal.	March, 2000
119.	IRDA (Registration of Indian Insurance Companies) Regulations, 2000 (Regulation 10)	To certify that the company has complied with all the requirements relating to registration fees, share capital, deposits and other requirements of the Insurance Regulatory and Development Authority Act, 1999	July, 2000

120.	Foreign Trade Policy 2015-2020	<p>Illustrative List of certificates which may be issued by a Company Secretary in Practice is given hereunder:</p> <p>Certificate for issue of EPCG authorization (Appendix 26) Certificate for EPCG Redemption (Appendix 26A)</p> <p>Certificate showing sales turnover of ammunition (indigenous and imported) during the preceding three licensing years (Annexure ANF 2B)</p> <p>Certification in respect of Application for grant of Status Certificate (ANF 3A)</p> <p>Certification in respect of application for Served from Indian Scheme (Annexure ANF 3B)</p> <p>Certificate for Agriculture Infrastructure incentive scrip under VKGUY (Annexure to ANF 3D)</p>	Foreign Trade Policy (2015-2020)
121.	Special Economic Zones Rules, 2006 (Rule 61)	To act as authorized representative before the Board of Approval	February, 2006
122.	Foreign Exchange Management Act, 1999 (FEMA)	To issue certificates for exchange control purposes. All certificates, which a Practising Chartered Accountant can issue as documentary evidence in support of	Original recognition received in 1992 under FERA. RBI clarified vide letter dated April 19, 2001, the

		certain applications, may also be issued by a Company Secretary in Whole-time Practice.	recognition is valid under FEMA.
123.	Foreign Exchange Management (Transfer of Issue of Securities by a Person Resident Outside India) Regulations, 2000	To certify under FDI policy on behalf of Indian companies accepting investment	30 May, 2000
124.	The Trade Marks Rules, 2002 (Rule 150)	Qualified to be registered as a trade marks agent.	15 September, 2003
125.	Department of Tele-communications vide No. 18-05/2009-CS-I (Section 17 of Telecom Regulatory Authority of India Act, 1997)	Certify the documents for Other Service Providers (OSP) Registration for the entities which propose to provide the services such as Tele-banking, Tele-medicine, Tele-trading, E-commerce Call Centers, both International and Domestic, Network Operation Centers and Vehicle Tracking Systems etc.	12th January, 2016
126.	Guidelines for Grant of Unified License (Virtual Network Operators) (Point viii & X of Para 1, Annexure I & II) Department of Tele communications	Certification regarding Paid-up capital and net-worth	31st May, 2016

127.	PFRDA (Internal Audit of Custodian of Securities) Guidance Note 2015] [Clause 1]	Authorised to do Internal Audit of Custodian Securities on quarterly basis.	28th August, 2015
128.	Real Estate (Regulation and Development) Act, 2016 (Section 56)	To act as authorised representative before the Appellate Tribunal or the Regulatory Authority or the adjudicating officer, as the case may be.	26th March, 2016.
129.	Third Party Certification/ Audit Scheme [Clause 2(ii)] Department of Labour, Government of Haryana	Company Secretary to provide third party certification	10th August, 2016
130.	Transparent Inspection Scheme (Clause 2, Part B) Department of Labour, Government of Haryana	Company Secretary to provide third party certification under transparent inspection policy	24th June, 2016
131.	PFRDA (Retirement Adviser) Regulations, 2016 [Regulation 4 (2)]	Authorized to act as retirement advisor.	13th June, 2016
132.	Department of Tele-communications (Access Service Cell) [Notification No. 800-23/2011-VAS (Vol. II)]	Certification for Registration along with Article of Association, Memorandum of Understanding, Details of Promoters/ Partner/ Shareholder, Net worth, Paid up Capital, Foreign Direct Investment in the	31 May, 2016

	<p>Policy guidelines for the Empanelment of Private FM Radio Stations and fixation of rates for Government Advertisements by DAVP - 2016 Ministry of Information and Broadcasting, Directorate of Advertising and Visual Publicity vide F. No. 22204/1/2013/EAC/AV (Part File Volume B)</p>	<p>company for the purpose of Application to Department of Telecommunications for Grant of Unified License (Virtual Network Operators)/Authorisation for Additional Services.</p> <p>Certificate duly signed by the Company Secretary for the prescribed revenue details, latest profit & loss accounts, balance sheet and actual tax payment including service tax for previous financial year and the amount of advertisement revenue generated by the Private FM Radio Stations during the previous financial year preceding the date of application.</p>	<p>12 Aug, 2016</p>
133.	<p>Reserve Bank of India Circular DBOD No. BP.PC. 46/08.12.001/2008-09</p>	<p>Diligence Report for Banks</p>	<p>September 19, 2008</p>