

Jointly offers



Corporate and Securities Markets Compliances (Executive Program)

One Year Full Time Residential Program at,
NISM Patalganga Campus, Raigad, near Navi Mumbai

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Board of Governors (NISM)

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Chairperson, SEBI

Members

Mr. Ashwani Bhatia

Whole Time Member, SEBI and
Director, NISM

Mr. Amarjeet Singh

Whole Time Member, SEBI

Mr. Ajit Balakrishnan

Chairman and CEO of Rediff.com and
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Governors of IIM Calcutta

Ms. Ashu Suyash

Former Managing Director and CEO, CRISIL

Prof. H. Krishnamurthy

Chief Research Scientist (Retired), IISc

Mr. Ananth Narayan

Whole Time Member, SEBI

Mr. Girraj Prasad Garg

Executive Director, SEBI

Mr. A. Balasubramanian

Managing Director and CEO,
Aditya Birla Sun life AMC Ltd.

Mr. Uday Chitale

Independent Director, ICICI Bank Ltd.

Secretary to the Board

Mr. Sunil Jayawant Kadam

Registrar, NISM



About NISM

In the budget speech of February 2005, the Honorable Union Finance Minister announced that Securities and Exchange Board of India (SEBI) should establish an Institute to undertake securities markets education and research. In pursuance of this mandate, SEBI established the National Institute of Securities Markets (NISM), in 2006, as a Public Trust under the Bombay Public Trusts Act, 1950.

NISM is an Institute of higher learning with the vision of capacity and capability building in the field of securities market and disseminating knowledge through long term and short term programs via technology driven initiatives in hybrid modes and through e-learning programs.

NISM has been carrying out a wide range of activities aimed at enhancing quality standards in the securities markets and for increasing participation therein, addressing various stakeholders of securities markets.

NISM offers a bouquet of uniquely positioned programs, executive trainings, conferences, e-learning programs, certifications and investor education activities as per the market needs. The primary focus in these programs is on 'skill development' that prepares aspiring professionals with well-rounded and holistic understanding of the markets and equips existing professionals with expertise in various functional domains like operations, treasury, compliance, etc.

NISM also collaborates/tie-ups with educational institutions, Market Infrastructure Institutions, market intermediaries, regulators, issuers, market participants etc. for developing and delivering programs of various types to help professionalise the market. NISM is currently in the process of enhancing the reach of its programs in order to cover a larger audience and to touch every part of the country.





Message from Director, NISM

I am pleased to announce that the National Institute of Securities Markets (NISM) is launching the inaugural batch of our Corporate and Securities Markets Compliances–Executive (CSMC–Executive) program, which is jointly being offered by the Institute of Company Secretaries of India (ICSI) and NISM.

The CSMC–Executive program is a comprehensive course that covers an advanced–level curriculum related to the entire spectrum of Corporate and Securities Laws. It is a unique and intensive program condensed into a single year, designed to equip participants with the knowledge and skills needed to excel in the securities market.

One of the standout features of this course is its faculty composition. In addition to our exceptional in–house faculty from NISM and ICSI, students will also benefit from learning experiences provided by industry practitioners. Our visiting faculty includes experts from regulatory authorities, seasoned practicing lawyers, tribunal members, corporate legal professionals, and practitioners across various segments of the financial markets. This blend of theoretical knowledge and practical insights will enhance the caliber of our students, enabling them to apply their learning immediately and step into compliance roles in the corporate world.

We assure you that this program will significantly contribute to fulfilling your career aspirations.

Mr. Ashwani Bhatia
Director, NISM



ICSI Council

President

CS B. NARASIMHAN

President, ICSI

Members

CS Dhananjay Shukla

Vice – President, ICSI

CS Manish Gupta

Immediate Past President, ICSI

Mr. Manoj Pandey

Additional Secretary to the Government of India,
Ministry of Corporate Affairs

Mr. Inder Deep Singh Dhariwal

Joint Secretary to the Government of India,
Ministry of Corporate Affairs

Ms. Mithlesh

Advisor (Cost), Ministry of Corporate Affairs

Mr. M.P. Shah

Director General of Corporate Affairs, Govt. of India (Retd.)

Dr. Ashok Kumar Mishra

Ex–Technical Member, NCLAT

CS Rupanjana De

Council Member, ICSI

CS Sandip Kumar Kejriwal

Council Member, ICSI

CS Manoj Kumar Purbey

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CS NPS Chawla

Council Member, ICSI

CS Suresh Pandey

Council Member, ICSI

CS Mohan Kumar Aravamudhan

Council Member, ICSI

CS Dwarakanath Chennur

Council Member, ICSI

CS Venkata Ramana R.

Council Member, ICSI

CS Ashish Karodia

Council Member, ICSI

CS Pawan G. Chandak

Council Member, ICSI

CS Praveen Soni

Council Member, ICSI

CS Rajesh Chhaganbhai Tarpara

Council Member, ICSI

CS Asish Mohan

Secretary, ICSI

About ICSI

The Institute of Company Secretaries of India (ICSI) is a premier professional body, established under an act of Parliament (The Company Secretaries Act, 1980), to regulate and develop the profession of Company Secretaries. ICSI functions under the jurisdiction of the Ministry of Corporate Affairs, Government of India. The Institute provides top-quality education to the students of Company Secretaries (CS) Course and best quality set standards to CS Members.

Headquartered in New Delhi, the ICSI has a nationwide presence with four Regional Offices in New Delhi, Chennai, Kolkata and Mumbai, 72 Chapter Offices spread all across the country and Centre for Corporate Governance, Research and Training (CCGRT) in Mumbai, Hyderabad and Kolkata. The Institute also has six overseas centres at Australia, Canada, Singapore, UAE, UK and USA. With over 72,000 members and around 200,000 students, the ICSI has the largest membership and student base of Company Secretaries in the world.

As an inclusive body on the global governance map, the ICSI has been taking various initiatives for the growth and development of the profession. ICSI has been contributing to the initiatives of Government of India that have potential to excel the social-economic growth of India.





Message from President, ICSI

Dear Stakeholders,

The National Education Policy 2020 (NEP 2020) envisages holistic development of students by integrating skill-based learning into the education system through collaborative approach, resulting in employability. In alignment with NEP 2020, the Institute brought out its New Syllabus in the year 2022 with the intent to make the entire course structure more skill based, promoting experiential learning, having a multi-disciplinary approach, focussing on technology integration and reduced number of papers.

NEP 2020 also acknowledges that education goes beyond academics and aims to nurture individuals through experiential learning. It is felt that the students pursuing CS Course should be given opportunity for skill based/experiential learning through collaborative approach.

Towards this, I am delighted that ICSI will be embarking on a journey of collaboration with National Institute of Securities Markets (NISM), an institution promoted by SEBI, opening window of opportunities for CS students aspiring to have their career in securities market as they pursue their CS Course in a classroom environment.

Our collaboration with NISM reflects our shared commitment to academic excellence, professional development, and industry relevance. By leveraging NISM's unparalleled expertise in securities markets and our institution's academic rigor and pedagogical excellence, we have crafted a residential integrated program, exclusively for CS students, through a Joint Certification, covering the entire CS curriculum, additional specialisation papers on securities market, industry exposure, learning market trading/mechanism through simulation technologies etc. While facilitating completion of CS course through classroom teaching at NISM, it also covers many capital market courses of NISM, resulting in employability in the areas of capital market. It will be a two year course with intensive classroom teaching by experts including faculties to be invited as guest lecturers. At the end of each year, the students will also have to appear for a test to be conducted by NISM on securities market making them eligible to have two certificates from NISM and also complete the CS Course being conducted by ICSI. These certificates from NISM increase the chances of employability in the Capital Market.

The government's objective to achieve \$10 Trillion Economy by end of 2030 will be possible only through capital intensive industry with investment coming from both India and abroad making huge demands for professionals in Capital Markets especially with thorough knowledge of various Acts and Regulations associated with the securities markets.

The launch of this course in collaboration with NISM marks the beginning of a transformative educational experience that will equip the CS students with the skills, knowledge and align with the market demand and need of the India Inc. in a unique way.

I encourage students, aspiring their career as a CS and also acquire good knowledge in capital market, to make use of this opportunity and prepare themselves to grab it. The entry to this integrated course will be through an entrance examination and viva–voce.

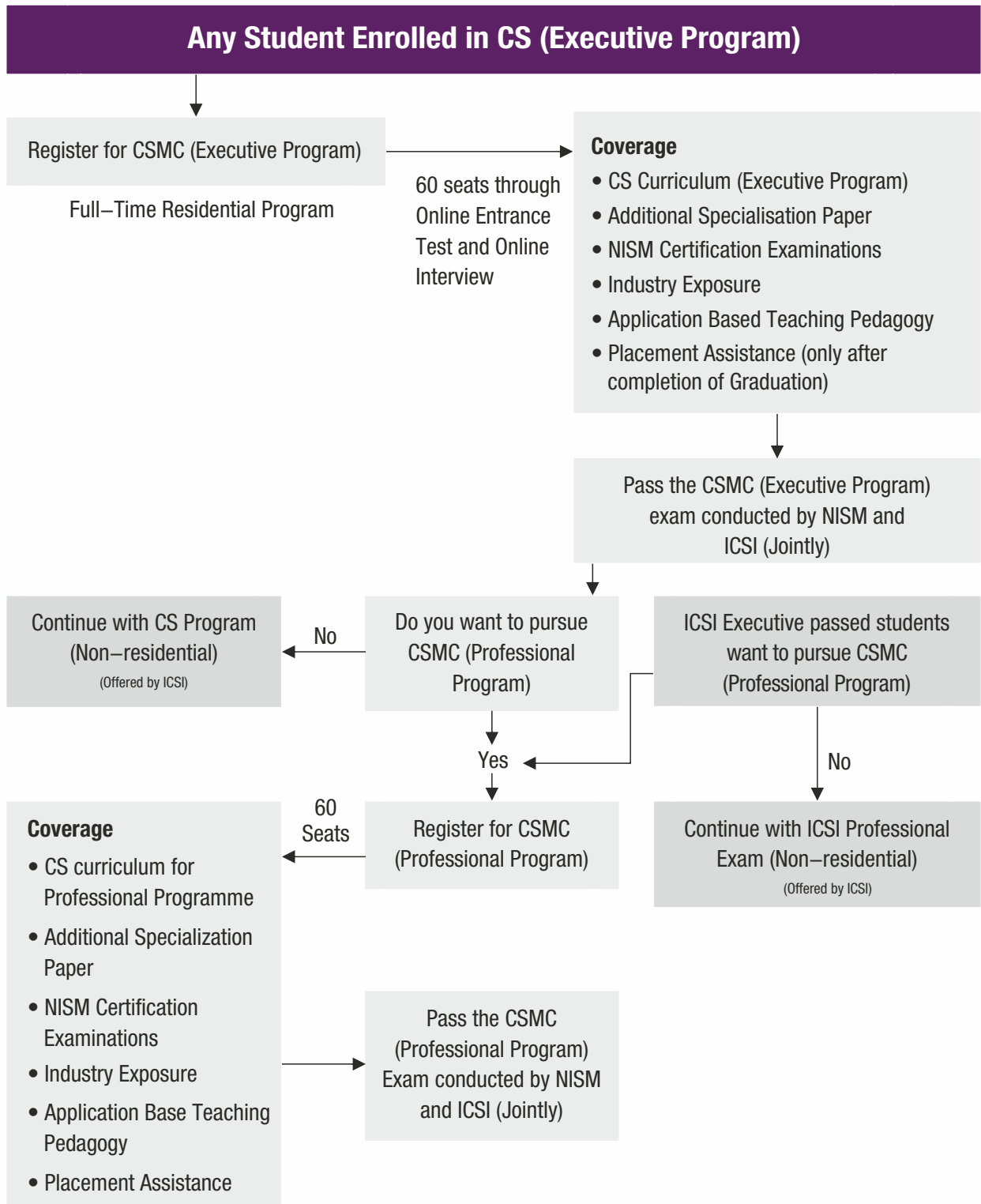
Together, let us embark on this journey of discovery, learning, and growth. With the support and guidance of industry experts, NISM & ICSI Team and the commitment of our students, I am confident that we will achieve greater heights of professional excellence.

Warm Regards,

CS B. Narasimhan
President, ICSI



Corporate and Securities Markets Compliances (Executive Program)



Note: Students are required to appear for the CS examinations (Executive/Professional) conducted by ICSI in June/December besides joint certification examinations. Registration to CSMC program will not exempt any student from ICSI Examination.

About CSMC (Executive Program)

CSMC (Executive Program) is a one-year residential program that provides students with exposure to a wide range of subjects covered in the CS executive program. In addition, the program includes added subjects related to listed issuers and securities market compliances such as an Overview of securities markets, Issuer compliances, Intermediaries Compliances, Derivatives, and corporate governance. The curriculum is carefully crafted and benchmarked with the best and contemporary texts. The program also includes application-based teaching pedagogy and industry internship that serves as a strong foundation for further grooming and growth into various career paths in the corporate/ financial/ securities markets compliance role. CSMC students are positioned to take up a wide range of roles and responsibilities of compliance professionals with the listed companies, market infrastructure institutions, and intermediaries.

For Whom?

The CSMC (Executive Program) is an ideal platform for those who are passionate about corporate and securities market compliances, and aspire to gain in-depth knowledge and build a long-term career in these areas. The program is suited for fresh graduates, postgraduates, and experienced candidates who are enrolled in the CS executive program and have a passion for compliance roles with listed companies and securities market stakeholders. Over the course of one year, students will immerse themselves in the program and develop their knowledge and skills in taking compliances.

Any student enrolled for C S Executive Program is eligible to apply.

Benefits of Program

CSMC –Executive Program can lead the successful participants to the following careers pathways:

- **Listed Companies:** Role as a compliance professional who may work in the department handling compliances.
- **Market Infrastructure Institutions:** Role as a compliance professional with Market Infrastructure Institutions including Stock Exchanges, Commodity Exchanges, Clearing Corporations and Depositories etc.
- **Intermediaries:** Role as a compliance professional with the primary and secondary market intermediaries, The objective of NISM for designing a program of this kind is “to create a cadre of compliance professionals”.



Program Architecture

The program is divided into the following two semesters.

1. First Semester

Course Code	Course Title	Teaching Hours
101	An overview of Securities Markets & Introduction to NISM simulation lab	45
102	Jurisprudence, Interpretation & General Laws	45
103	Company Law & Practice	45
104	Setting up of Business, Industrial & Labor Laws	45
105	Tax Laws & Practice	45
Total Hours		225

2. Second Semester

Course Code	Course Title	Teaching Hours
106	Corporate Accounting & Financial Management	45
107	Capital Market & Securities Laws	45
108	Economic, Commercial & Intellectual Property Laws	45
109	Issuer Compliances	45
110	Intermediaries Compliances	45
Total Hours		225

Note: For each teaching hour, students are required to put in a minimum 2 additional learning hours.

Industry projects

- There will be one month industry projects after the 2nd semester that offers opportunities to the students of the program to deep dive into live market environment and work on various projects and functions with associated entities in the market.
- Students will work under the mentorship of industry experts from MIs, Banks, Securities Markets Firms, and Regulators etc. on projects.

NISM Certification Examinations across the Two Semesters* :

Mandatory NISM Certifications

Sl. No.	Name of the Certification Exam
1	NISM–Series–XII: Securities Markets Foundation – First Semester
2	NISM–Series–V–A: Mutual Fund Distributors – First Semester
3	NISM–Series–VI: Depository Operations Certification –First Semester
4	NISM–Series–III–A: Securities Intermediaries Compliance (Non–Fund)–Second Semester
5	NISM–Series–VII: Securities Operations and Risk Management – Second Semester
6	NISM–Series–VIII: Equity Derivatives – Second Semester
Any others	Any other NISM certification depending upon the requirements

Optional NISM Certifications

Sl. No.	Name of the Certification Exam
1	NISM–Series–I: Currency Derivatives
2	NISM Series–IX: Merchant Banking
3	NISM–Series–X–A: Investment Adviser (Level 1)
4	NISM–Series–XIII: Common Derivatives
5	NISM–Series–XV: Research Analyst
6	NISM–Series–XXI–A: Portfolio Management Services
7	NISM–Series–X–B: Investment Adviser (Level 2)
8	NISM–Series–XIX–A: Alternative Investment Funds (category I and II) Distributors
9	NISM–Series–XIX–A: Alternative Investment Funds (category III) Distributors
10	Any other NISM certification depending on the requirements

Important points:

**Students need to complete all the above mandatory certifications within the deadlines indicated by the Program Calendar. The examination fee for the aforementioned mandatory certifications will be borne by the individual candidates.*

Learning Environment and Pedagogical Approach

The pedagogy of this program will be practice oriented with an objective of bringing real-life perspectives alongside giving a robust conceptual foundation to the students.

The curriculum is designed in consultation with the CS institute and industry to ensure that the curriculum is in-line with the current Industry practices and requirements.

The program will be delivered through learner-centric approach using various pedagogical tools including case studies, hands on training on widely used data base and software's like, Bloomberg, Prowess and others.

NISM, with its proximity to the policy-makers and the industry, draws upon the cream of academia and industry providing cutting-edge inputs in the securities markets domain.

Lectures and hands-on workshop sessions will be conducted by eminent faculty and industry leaders and experts. Students will gain the inputs and industry insights from a team of academicians, market experts and policy makers. There will also be regular interactions with practicing professionals.

The learning environment is further stimulated by industry interface, finance lab with modern technological infrastructure, state-of-the-art campus, extensive library and other recreation facilities. Book reviews, films related to Wall Street and experience sharing sessions with industry experts complement the learning process.

Industry Interface

The institute organizes visits to exchanges, depositories and various market intermediaries providing exposure to industry paradigm. NISM also invites a number of practitioners from the industry for interaction with the participants to share their experiences. These platforms provide opportunities to the participants to develop keen insights to industry practices and nuances.



Finance Lab

The one-of-its-kind trading simulation lab with streaming market data is a key highlight of the program. In addition students will be learning various subjects using databases such as Bloomberg, Prowess, etc. and application software such as MATLAB, SAS, R, WinRATS, E-Views, etc.

Extensive Library

The institute boasts one of the biggest specialized libraries for Finance, which holds a rich collection of over 10000 books on securities markets, domestic and international magazines, newspapers, journals, etc. Through Science–Direct, the library provides access to all leading academic journals in Finance, Economics, Business and Management.



State-of-the-art Campus

NISM's campus at Patalganga spread over 70 acres of land on the scenic Mumbai–Pune Express–way has state-of-the-art infrastructure that creates an ideal environment conducive for contemplative learning. The eco-friendly campus is Wi-Fi enabled and features well equipped class-rooms, modern auditorium and a vast amphitheater. The campus also has impressive residential blocks with separate hostel facilities for male and female participants.

Recreation Facilities

The participants enjoy the luxury of modern recreation facilities like swimming pool, squash court, gymnasium with modern equipment, table tennis and badminton halls, yoga hall, cafeteria etc., The recreation blocks pose a refreshing environment offering a balance to the academic rigor of the program.



Recreation Facility



Placement Facilitation

NISM's close connect with the industry will act as an enabler to facilitate the placement of students. The institute assists placement of the participants by inviting reputed organizations in the investment and securities markets domain to the campus placement drive. The participants are groomed for performing challenging and exciting roles in the investment and securities markets. The alumni of various other programs offered by NISM have been inducted in the following organizations through the campus placement program:



Our Past Recruiters

Broking | Banks | Investment Advisory | Stock Exchanges | Depositories | Mutual Funds | Information Technology



Note: Placement assistance will be provided to non-graduates after completing their graduation during the CSMC (Executive) or CSMC (Professional) program. Candidates who successfully complete the CSMC (Executive) program will be eligible for admission to the CSMC (Professional) program, which is scheduled to begin next year.

Admission Process

Eligibility Criteria

Student must be enrolled in the CS (Executive) program (Offered by ICSI)

Selection Criteria

Selection to the Program will be through an online entrance test and online interview. Candidates qualified in the entrance test and online interviews will be offered admission. For Information regarding online entrance test and online interview, candidates can refer to **Frequently Asked Questions (FAQs)** available on www.nism.ac.in/academics or www.icsi.edu/home/icsi-nism/

Program Fee*

The tuition fee for the CSMC (Executive Program) is **Rs. 1,00,000**. The break-up of the fees is as follows:

Fee Structure			
Particulars	First Instalment (Rs)	Second Instalment (Rs)	Total Fee (Rs)
Tuition fee	50,000	50,000	1,00,000
Books, Study Material, Library Resources, etc.	10,000	0	10,000
Exam fee	5,000	5,000	10,000
Alumni fund	2,000	–	2,000
GST @ 18%	12,060	9,900	21,960
Accommodation (non-AC & twin occupancy)#	30,250	30,250	60,500
Mess Charges	34,650	34,650	69,300
5% GST on Mess charges	1,733	1,733	3,466
Total fees including Refundable deposits	1,45,693	1,31,533	2,77,226

- There will be a caution deposit for the hostel of Rs. 10000 and library of Rs. 10000, which will be refunded after the completion of the program.
- Cost of NISM certification shall be borne by the students.
- Residential charges will be collected for 11 months starting from July 30, 2024. Students are allowed to occupy the hostels one day before the start of the program. Students have to vacate the hostel two days after the date of the last semester examination.)

*The fee is subject to change as mutually decided by ICSI and NISM as & when notified and applicable at the time of admission.

#Air Conditioning facilities can be arranged upon request, subject to additional charges, from March 15 to June 30. charges will be intimated separately.

Fee Refund Policy

If any applicant requests to withdraw his/her admission, the Institute will refund the collected fees after deducting Rs. 10,000/- towards processing fees, provided such withdrawal request is made before the commencement of the program. The last date for withdrawal of admissions for the purpose of refund of fees is before the commencement date of the program. There will be no refund of fee, after the commencement of the program.

Percentage of Refund of Aggregate fees	Point of time when notice of withdrawal of admission is served to the Institute
100% less Rs.10,000/-	Before commencement of the program
0%	After commencement of the program

How to apply?

1. New user need to click on <https://apply.nism.ac.in/csmc-executive-form>
2. Upon successful registration, you will receive User ID and Password on the registered mobile number and Email ID.
3. After registration you can Log-in and fill in the application form and pay the application fee of Rs 500/- online.

Important Dates:

Start Date for Application	March 05, 2024
Last Date for Application	May 31, 2024
Online Entrance Test	June 16, 2024
Date of Online Interview	July 01, 2024 to July 05, 2024
Declaration of 1st Merit List	July 12, 2024
Declaration of 2nd Merit List	July 19, 2024
Last date for payment of fee	July 26, 2024
Commencement of Program	July 30, 2024

Disclaimer and Note:

Although every possible effort has been made to provide reliable and accurate information regarding online admission schedule/course(s)/number of seat(s)/eligibility criteria/fee structure etc. in the Online Joint Prospectus 2024, the ICSI and NISM do not warrant or assume any legal liability or responsibility for any error found either at any stage of complaint or while uploading the Prospectus. The Management of NISM and ICSI reserves the right to change, amend program structure, content and delivery etc. as they deem fit. All disputes pertaining to this course are under the jurisdiction of the High Court of Bombay.



NiSM

NATIONAL INSTITUTE OF SECURITIES MARKETS

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