

GIST OF ROC & RD ADJUDICATION ORDERS

ROC Adjudication Orders

1. Adjudication order for violation of Section 12 of Companies Act, 2013 in the matter of TREE TOP RESORTS AND SPA PRIVATE LIMITED

ROC Ahmedabad issued adjudication order dated 13th August, 2025 in the matter of Tree Top Resorts and Spa Private Limited for not maintaining the Registered Office of the company and thus violating the provisions of Section 12(8) of the Companies Act, 2013. The Adjudicating Authority has imposed a penalty of ₹100,000 each upon the company and on two directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=hyz1%2F8Mwdlr1%2F8Ll2manZA%3D%3D&type=open>

2. Adjudication order for violation of Section 203 of Companies Act, 2013 in the matter of SHANTI INORGANICS LIMITED (application filed Suo-moto)

Shanti Inorganics Limited, has filed Suo moto application for violating the provisions of Section 203(5) of the Companies Act, 2013 ROC Ahmedabad issued adjudication order dated 13th August 2025 that the company which violated the provisions of Section 203(5) in appointment of a whole time Key Managerial Personnel. The Adjudicating Authority has imposed the penalty of ₹5,00,000 upon Company and ₹90,000 each upon four directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=fbdM1eAQUyF2Plout1eA6Q%3D%3D&type=open>

3. Adjudication order for violation of Section 167 of Companies Act, 2013 in the matter of MILCENT APPLIANCES PRIVATE LIMITED

ROC Ahmedabad issued adjudication order dated 12th August, 2025 in the matter of Milcent Appliances Private Limited for delay in filing the e-form DIR-12 in pursuant to Section 167 of the Companies Act, 2013. The Adjudicating Authority imposed penalty of ₹1,50,000 upon the company and ₹50,000 upon one director of the company in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=NRdlHbSrOvKrp1d08R7TmQ%3D%3D&type=open>

4. Adjudication order for violation of Rule 9A of the Companies (Prospectus and Allotment of Securities) Rules, 2014 in the matter of ORIENTAL MATCHEM LIMITED

ROC Chhattisgarh issued adjudication order dated 20th August, 2025 in the matter of Oriental Matchem Limited Company for delay in filing the e-form PAS-6

in pursuant to Rule 9A of the Companies (Prospectus and Allotment of Securities) Rules, 2014. The Adjudicating Authority imposed penalty of ₹70,000 upon the company and ₹50,000 upon three directors of the company in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=9jVWMRfSdxrWjkYP6HmZQA%3D%3D&type=open>

5. Adjudication order for violation of Section 203(5) of Companies Act, 2013 in the matter of NATURA HUE-CHEM LIMITED

ROC Chhattisgarh issued an adjudication order dated 05th August, 2025 in the matter of Natura Hue-Chem Limited for the violation of Section 203(5) of the Companies Act, 2013, for failing to appoint a Company Secretary within the prescribed six-month period. The Adjudicating Authority imposed a penalty of ₹500,000 upon the company and a penalty of ₹122,000 each upon two directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=ZqA14TBJ9NC3qiX5P%2Ffojg%3D%3D&type=open>

6. Adjudication order for violation of Rule 9A of the Companies (Prospectus and Allotment of Securities) Rules, 2014 in the matter of SHREE NAKODA INFRATECH LIMITED

ROC Chhattisgarh issued an adjudication order dated 1st August, 2025 in the matter of Shree Nakoda Infratech Limited for failing to file e-form PAS-6 for the half-year ended 30th September 2021, as required by Rule 9A (8) of the Companies (Prospectus and Allotment of Securities) Rules, 2014. The Adjudicating Authority imposed a penalty of ₹200,000 upon the company and a penalty of ₹50,000 each on five directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=ZpXVik7YBi5l0lCT4Y9TYw%3D%3D&type=open>

7. Adjudication order for violation of Rule 9A of the Companies (Prospectus and Allotment of Securities) Rules, 2014 in the matter of SHREE NAKODA VIHAR LIMITED

ROC Chhattisgarh issued an adjudication order dated 1st August, 2025 in the matter of Shree Nakoda Vihar Limited for failing to file e-form PAS-6 for the half-year ended 30th September 2024, as required by Rule 9A(8) of the Companies (Prospectus and Allotment of Securities) Rules, 2014. The Adjudicating Authority imposed a penalty of ₹132,000 upon the company and a penalty of ₹50,000 each on two directors in default. The adjudication proceedings against director Ashok Kumar Goel were dropped due to his demise.

<https://www.mca.gov.in/bin/dms/getdocument?mds=0h3Bccp5LEkeaZsAFnDtpA%3D%3D&type=open>

8. Adjudication order for violation of Rule 9A of the Companies (Prospectus and Allotment of Securities) Rules, 2014 in the matter of SHREE NAKODA VIHAR LIMITED

ROC Chhattisgarh issued an adjudication order dated 1st August, 2025 in the matter of Shree Nakoda Vihar Limited for failing to file e-form PAS-6 for the half-year ended 31st March 2022, as required by Rule 9A (8) of the Companies (Prospectus and Allotment of Securities) Rules, 2014. The Adjudicating Authority imposed a penalty of ₹200,000 upon the company and a penalty of ₹50,000 each on two directors in default. The adjudication proceedings against director Ashok Kumar Goel were dropped due to his demise.

<https://www.mca.gov.in/bin/dms/getdocument?mds=aDl17GcKKrKyLZU0gSTsoQ%3D%3D&type=open>

9. Adjudication order for violation of Rule 9A of the Companies (Prospectus and Allotment of Securities) Rules, 2014 in the matter of SHREE NAKODA INFRATECH LIMITED

ROC Chhattisgarh issued an adjudication order dated 1st August, 2025 against Shree Nakoda Infratech Limited for failing to file e-Form PAS-6 for the half-year ending 31st March 2023, a violation of Rule 9A(8) of the Companies (Prospectus and Allotment of Securities) Rules, 2014. The Adjudicating Authority imposed a penalty of ₹200,000 upon on the company, and ₹50,000 each on five of its directors.

<https://www.mca.gov.in/bin/dms/getdocument?mds=4C7XPyoxYuCWC1fuYkIDow%3D%3D&type=open>

10. Adjudication order for violation of Rule 9A of the Companies (Prospectus and Allotment of Securities) Rules, 2014 in the matter of SHREE NAKODA INFRATECH LIMITED

ROC Chhattisgarh issued an adjudication order dated 1st August, 2025 against Shree Nakoda Infratech Limited for failing to file e-Form PAS-6 for the half-year ending 30th September 2022, a violation of Rule 9A(8) of the Companies (Prospectus and Allotment of Securities) Rules, 2014. The Adjudicating Authority imposed a penalty of ₹200,000 upon on the company, and ₹50,000 each on five of its directors.

<https://www.mca.gov.in/bin/dms/getdocument?mds=eig%2Fi7PqDF1EhJWUabYKig%3D%3D&type=open>

11. Adjudication order for violation of Rule 9A of the Companies (Prospectus and Allotment of Securities) Rules, 2014 in the matter of SHREE NAKODA INFRATECH LIMITED

ROC Chhattisgarh issued an adjudication order dated 1st August, 2025 against Shree Nakoda Infratech Limited for failing to file e-Form PAS-6 for the half-year ending 31st March 2022, a violation of Rule 9A(8) of the Companies (Prospectus and

Allotment of Securities) Rules, 2014. The Adjudicating Authority imposed a penalty of ₹200,000 upon on the company, and ₹50,000 each on five of its directors.

<https://www.mca.gov.in/bin/dms/getdocument?mds=1M4eKOjiRXidRRJOvXILoQ%3D%3D&type=open>

12. Adjudication order for violation of Rule 9A of the Companies (Prospectus and Allotment of Securities) Rules, 2014 in the matter of SHREE NAKODA VIHAR LIMITED

ROC Chhattisgarh issued an adjudication order dated 1st August, 2025 against Shree Nakoda Vihar Limited for failing to file e-Form PAS-6 for the half-year ending 31st March 2023, a violation of Rule 9A(8) of the Companies (Prospectus and Allotment of Securities) Rules, 2014. The Adjudicating Authority imposed a penalty of ₹200,000 upon the company, and ₹50,000 each on two directors in default. The adjudication proceedings against director Ashok Kumar Goel were dropped due to his demise.

<https://www.mca.gov.in/bin/dms/getdocument?mds=PpUaU5hT7hn9ETQO9AlFxQ%3D%3D&type=open>

13. Adjudication order for violation of Rule 9A of the Companies (Prospectus and Allotment of Securities) Rules, 2014 in the matter of SHREE NAKODA INFRATECH LIMITED

ROC Chhattisgarh issued an adjudication order dated 1st August, 2025 against Shree Nakoda Infratech Limited for failing to file e-Form PAS-6 for the half-year ending 30th September 2024, a violation of Rule 9A (8) of the Companies (Prospectus and Allotment of Securities) Rules, 2014. The Adjudicating Authority imposed a penalty of ₹150,000 was imposed on the company, and ₹50,000 each on three of its directors in default.

Raj. <https://www.mca.gov.in/bin/dms/getdocument?mds=1QAK15h982sYNLefBvF2XA%3D%3D&type=open>

14. Adjudication order for violation of Rule 9A of the Companies (Prospectus and Allotment of Securities) Rules, 2014 in the matter of SHREE NAKODA VIHAR LIMITED

ROC Chhattisgarh issued an adjudication order dated 1st August, 2025 against Shree Nakoda Vihar Limited for failing to file e-Form PAS-6 for the half-year ending 31st March 2024, a violation of Rule 9A(8) of the Companies (Prospectus and Allotment of Securities) Rules, 2014. The Adjudicating Authority imposed a penalty of ₹200,000 upon on the company, and ₹50,000 each on two directors in default. The adjudication proceedings against director Ashok Kumar Goel were dropped due to his demise.

<https://www.mca.gov.in/bin/dms/getdocument?mds=SH8ojzHPFGS2oY8rAZkMvQ%3D%3D&type=open>

15. Adjudication order for violation of Section 189(6) of the Companies Act, 2013 in the matter of KOVAI MEDICAL CENTER AND HOSPITAL LIMITED

ROC Coimbatore issued an adjudication order dated 5th August, 2025 in the matter of Kovai Medical Center and Hospital Limited for failing to record the voting details of directors on contracts or arrangements in the register as per Section 301(1)(e) of the Companies Act, 1956, now corresponding provision under Section 189(6) of the Companies Act, 2013. The Adjudicating Authority imposed a penalty of ₹25,000 upon one director in default. The adjudication proceedings against CS Mr Chittibabu were dropped due to his demise.

<https://www.mca.gov.in/bin/dms/getdocument?mds=PRvHQRXqoNGVK4r4djQVBQ%3D%3D&type=open>

16. Adjudication order for violation of Section 197(3) of the Companies Act, 2013 in the matter of HARI MACHINES LIMITED

ROC Cuttack issued an adjudication order dated 28th August, 2025 in the matter of Hari Machines Limited for paying managerial remuneration while the company was in a loss and had defaulted on its debts. This action was a violation of Section 197(3) of the Companies Act, 2013, as it required prior Central Government approval, which the company failed to obtain. The Adjudicating Authority imposed a penalty of ₹100,000 each on the Managing Director, and the Company Secretary. The company was not penalized because it is under liquidation.

<https://www.mca.gov.in/bin/dms/getdocument?mds=sJnAQQ%2FXQ2ckT5BFW6Utdg%3D%3D&type=open>

17. Adjudication order for violation of Section 138 of the Companies Act, 2013 in the matter of HARI MACHINES LIMITED

ROC Cuttack issued an adjudication order dated 28th August, 2025 in the matter of Hari Machines Limited for the violation of Section 138 of the Companies Act, 2013, read with Companies (Accounts) Rules, 2014. The company failed to appoint an internal auditor from the financial year 2015-16 onwards. The Adjudicating Authority imposed a penalty of ₹50,000 each on the Managing Director, and the Company Secretary for their default. The company was not penalized because it is under liquidation.

<https://www.mca.gov.in/bin/dms/getdocument?mds=%2FJzoZokzYhuibQlGf1KgDQ%3D%3D&type=open>

18. Adjudication order for violation of Section 197(3) of the Companies Act, 2013 in the matter of HARI MACHINES LIMITED

ROC Cuttack issued an adjudication order dated 28th August, 2025 in the matter of Hari Machines Limited for paying managerial remuneration of ₹33,81,300 for the financial year 2015-16 while the company was

in a loss and had defaulted on its debts. This was a violation of Section 197(3) of the Companies Act, 2013, as prior approval from the Central Government was not obtained. The Adjudicating Authority imposed a penalty of ₹100,000 each on the Managing Director, and the Company Secretary. The company was not penalized because it is under liquidation.

<https://www.mca.gov.in/bin/dms/getdocument?mds=84Gq4p4RnQFMkjUfX%2Fq2hg%3D%3D&type=open>

19. Adjudication order for violation of Section 39 (5) of the Companies Act, 2013 in the matter of Z ESTATES PRIVATE LIMITED

ROC Cuttack issued an adjudication order dated 28th August, 2025 in the matter of Z Estates Private Limited for failing to file the required Form 3 for an allotment of shares made on 21st February 2008. This was a violation of Section 75 of the Companies Act, 1956, which is now corresponding provision under Section 39 of the Companies Act, 2013. The Adjudicating Authority imposed a penalty of ₹100,000 each upon the company and one its director for default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=0uRDsrX5S7fTiRLmo92Qyg%3D%3D&type=open>

20. Adjudication order for violation of Section 134(3) (h) of the Companies Act, 2013 in the matter of T K INTERNATIONAL LIMITED

ROC Cuttack issued an adjudication order dated 28th August, 2025 in the matter of T K International Limited for failing to include Form AOC-2 (details of related party transactions) in its board report for the financial year ended 31st March 2015. This was a violation of Section 134(3)(h) of the Companies Act, 2013. The Adjudicating Authority imposed a penalty of ₹300,000 on the company and ₹50,000 each on the two directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=3DfuX6GOVN1o%2FGyzEkruAA%3D%3D&type=open>

21. Adjudication order for violation of Section 196(4) of the Companies Act, 2013 in the matter of HARI MACHINES LIMITED

ROC Cuttack issued an adjudication order dated 28th August, 2025 in the matter of Hari Machines Limited for the violation of Section 196(4) of the Companies Act, 2013. The company failed to file the Board Resolution for the appointment of Managing Director and failed to obtain shareholder approval in the next general meeting. The Adjudicating Authority imposed a penalty of ₹50,000 each upon the Managing Director and the Company Secretary of the company for their default. The company was not penalized because it is under liquidation.

<https://www.mca.gov.in/bin/dms/getdocument?mds=9W24YdbHaZJnmLSAHxcoKA%3D%3D&type=open>

22. Adjudication order for violation of Section 71 (8) of the Companies Act, 2013 in the matter of Z ESTATES PRIVATE LIMITED

ROC Cuttack issued an adjudication order dated 28th August, 2025 in the matter of Z Estates Private Limited for failing to convert Fully Convertible Debentures (FCD) into shares within the stipulated timeframe, a violation of Section 71(8) of the Companies Act, 2013. Although the company claimed to have rolled over the conversion date, the Adjudicating Authority found its submission unsatisfactory and imposed a penalty of ₹200,000 upon the company and ₹50,000 upon the director for their default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=wDCvbVw8i9kTpxQ9AV557w%3D%3D&type=open>

23. Adjudication order for violation of Section 71 (8) of the Companies Act, 2013 in the matter of Z ESTATES PRIVATE LIMITED

ROC Cuttack issued an adjudication order dated 28th August, 2025 in the matter of Z Estates Private Limited for failing to pay debenture interest on an annual basis as per the terms of issue. The company's practice of paying interest only upon redemption was a violation of Section 117C (3) of the Companies Act, 1956, and Section 71(8) of the Companies Act, 2013. The Adjudicating Authority imposed a penalty of ₹200,000 upon the company and ₹50,000 on its director for default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=bvxEOGRriyBRB73MoJE0QQ%3D%3D&type=open>

24. Adjudication order for violation of Section 136(1) of the Companies Act, 2013 in the matter of HARI MACHINES LIMITED

ROC Cuttack issued an adjudication order dated 28th August, 2025 in the matter of Hari Machines Limited for the violation of Section 136(1) of the Companies Act, 2013. The company failed to place its financial statements and other mandatory documents on its website for the financial year 2017-18. The Adjudicating Authority imposed a penalty of ₹5,000 each on the Managing Director, and the Company Secretary. The company was not penalized as it is under liquidation.

<https://www.mca.gov.in/bin/dms/getdocument?mds=mcUxk0ChdUCIu%2FTROEQeQg%3D%3D&type=open>

25. Adjudication order for violation of Section 71 (8) of the Companies Act, 2013 in the matter of Z ESTATES PRIVATE LIMITED

ROC Cuttack issued an adjudication order dated 28th August, 2025 in the matter of Z Estates Private Limited for failing to convert its Fully Convertible Debentures (FCD) into shares by the due date of 6th November 2014, a violation of Section 71(8) of the Companies Act, 2013. Despite the company's claim that the conversion

period was extended, the Adjudicating Authority imposed a penalty of ₹200,000 on the company and ₹50,000 on its director for default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=Ak%2F0ALIm%2FuHm3pP6vzXxzA%3D%3D&type=open>

26. Adjudication order for violation of Section 197(3) of the Companies Act, 2013 in the matter of HARI MACHINES LIMITED

ROC Cuttack issued an adjudication order dated 28th August, 2025 in the matter of Hari Machines Limited for paying managerial remuneration while the company was in a loss and had defaulted on its debts during the financial year 2017-18. This was a violation of Section 197(3) of the Companies Act, 2013, as it required prior Central Government approval, which the company failed to obtain. The Adjudicating Authority imposed a penalty of ₹100,000 each upon the Managing Director, and the Company Secretary for default. The company was not penalized as it is under liquidation.

<https://www.mca.gov.in/bin/dms/getdocument?mds=tSOL7Qr3so8cy7Cjy3nrCA%3D%3D&type=open>

27. Adjudication order for violation of Section 149 of the Companies Act, 2013 in the matter of CHECK POINT SOFTWARE TECHNOLOGIES INDIA PRIVATE LIMITED

ROC Delhi issued an adjudication order dated 25th August, 2025 against Check Point Software Technologies India Private Limited for failing to maintain the minimum number of directors. This was a violation of Section 149 of the Companies Act, 2013. The Adjudicating Authority imposed a penalty of ₹215,500 upon the company and ₹100,000 on one director in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=mLpIDIY2HNqFr4SnYOzBbg%3D%3D&type=open>

28. Adjudication order for violation of Section 203(4) of the Companies Act, 2013 in the matter of NRSS XXXVI TRANSMISSION LIMITED

ROC Delhi issued an adjudication order dated 12th August, 2025 in the matter of NRSS XXXVI Transmission Limited for violating Section 203(4) of the Companies Act, 2013 by failing to appoint a Company Secretary within the required six-month period. The Adjudicating Authority imposed a penalty of ₹500,000 upon the company and its following directors: Sundeep Kumar Rai (₹500,000), Satyanarayana Sunkari (₹309,000), Sudip Dutta (₹500,000), Suvarna Shankar Wadekar (₹500,000), Rahul Ramesh Singh (₹425,000), and Nishikant Upadhyay (₹500,000) for default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=fOArFownV8nE6cOManNCQ%3D%3D&type=open>

29. Adjudication order for violation of Section 134(8) of the Companies Act, 2013 in the matter of DHARIWAL BUILDTECH LIMITED

ROC Delhi issued an adjudication order dated 8th August, 2025 in the matter of Dhariwal Buildtech Limited for violating Section 134(8) of the Companies Act, 2013. The company failed to include mandatory disclosures in its Board's Report for the financial year 2022-23, which constituted an incomplete report. The Adjudicating Authority imposed a penalty of ₹300,000 upon the company, and ₹50,000 on one of the directors for default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=sedzRAM81neRFib0coll0Q%3D%3D&type=open>

30. Adjudication order for violation of Section 134(8) of the Companies Act, 2013 in the matter of DHARIWAL BUILDTECH LIMITED

ROC Delhi issued an adjudication order dated 8th August, 2025 in the matter of Dhariwal Buildtech Limited for violating Section 134(8) of the Companies Act, 2013 by failing to include disclosures about related party contracts in its Board's Report for the financial year 2023-24. The Adjudicating Authority imposed a penalty of ₹300,000 upon the company and ₹50,000 on its one of the directors for his default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=CelQ5S2RDjcX8WMIBzURsQ%3D%3D&type=open>

31. Adjudication order for violation of Section 134(8) of the Companies Act, 2013 in the matter of DHARIWAL BUILDTECH LIMITED

ROC Delhi issued an adjudication order dated 8th August, 2025 in the matter of Dhariwal Buildtech Limited for violating Section 134(8) of the Companies Act, 2013 by failing to include several mandatory disclosures in its Board's Report for the financial year 2020-21. The Adjudicating Authority imposed a penalty of ₹300,000 upon the company and ₹50,000 on its one of the directors for his default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=CelQ5S2RDjcX8WMIBzURsQ%3D%3D&type=open>

32. Adjudication order for violation of Section 42(4) of the Companies Act, 2013 in the matter of RECREATIONS LAB PRIVATE LIMITED (application filed Suo moto)

Recreations Lab Private Limited, has filed Suo moto application for violating the provisions of Section 42(4) of the Companies Act, 2013 ROC Delhi issued an adjudication order dated 5th August, 2025 that the company which violated the provisions of Section 42(4) using money from a private placement of securities before filing the return of allotment with the Registrar.

The Adjudicating Authority imposed a penalty of ₹200,000 upon the company and a penalty of ₹100,000 each on its two of the directors for their default (small company).

<https://www.mca.gov.in/bin/dms/getdocument?mds=mdTkBV11N6oxxGiJq81Uiw%3D%3D&type=open>

33. Adjudication order for violation of Section 178 of the Companies Act, 2013 in the matter of DHARIWAL BUILDTECH LIMITED

ROC Delhi issued an adjudication order dated 5th August, 2025 in the matter of Dhariwal Buildtech Limited for violating Section 178(3) of the Companies Act, 2013 by failing to constitute a Nomination and Remuneration Committee and the company also failed to make the required disclosures in its Board's Reports. The Adjudicating Authority imposed a penalty of ₹500,000 on the company and ₹100,000 on its one of the directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=MfiFDZLveU69wd9Mq43wJQ%3D%3D&type=open>

34. Adjudication order for violation of Section 149 of the Companies Act, 2013 in the matter of DHARIWAL BUILDTECH LIMITED

ROC Delhi issued an adjudication order dated 1st August, 2025 in the matter of Dhariwal Buildtech Limited for violating Section 149 of the Companies Act, 2013, by failing to appoint the required number of independent directors after its turnover exceeded the threshold of ₹100 crores in the financial year 2018-19. The Adjudicating Authority imposed a penalty of ₹300,000 on the company and ₹100,000 on one its directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=WQVZQuWji%2FkQzusF1y5wCA%3D%3D&type=open>

35. Adjudication order for violation of Section 135 of the Companies Act, 2013 in the matter of DHARIWAL BUILDTECH LIMITED

ROC Delhi issued an adjudication order dated 1st August, 2025 in the matter of Dhariwal Buildtech Limited for violating Section 135(1) of the Companies Act, 2013 by failing to properly constitute a CSR Committee and not including the annual CSR report in its Board's Reports. The Adjudicating Authority imposed a penalty of ₹200,000 on the company and ₹50,000 on one its directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=eLQSRlMPIKZwvadtthCVQ%3D%3D&type=open>

36. Adjudication order for violation of Section 137 of the Companies Act, 2013 in the matter of INNER HEADS FACILITATION SERVICES PRIVATE LIMITED

ROC Goa issued an adjudication order dated 12th August, 2025 in the matter of Inner Heads Facilitation Services Private Limited for violating Section 137(3) of the Companies Act, 2013 by failing to file its financial statements for the financial year 2022-23. The Adjudicating Authority imposed a penalty of ₹37,250 upon the company and a penalty of ₹25,000 each on its two directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=eKVcJ6CycQ2UMRAYywsuTA%3D%3D&type=open>

37. Adjudication order for violation of Section 137 of the Companies Act, 2013 in the matter of INNER HEADS FACILITATION SERVICES PRIVATE LIMITED

ROC Goa issued an adjudication order dated 12th August, 2025 in the matter of Inner Heads Facilitation Services Private Limited for violating Section 137(3) of the Companies Act, 2013 by failing to file its financial statements for the financial year 2023-24. The Adjudicating Authority imposed a penalty of ₹19,000 each upon the company and on two directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=ep6GQ4XDE5HHaBJCTIMe6w%3D%3D&type=open>

38. Adjudication order for violation of Section 135 of the Companies Act, 2013 in the matter of GKB HI-TECH LENSES PRIVATE LIMITED

ROC Goa issued an adjudication order dated 4th August, 2025 in the matter of GKB Hi-Tech Lenses Private Limited for violating the provisions of Section 135 of the Companies Act, 2013 by failing to spend the required CSR amount for the year 2017. The Adjudicating Authority imposed a penalty of ₹200,000 upon the company and a penalty of ₹50,000 each on its four of the directors in default.

<https://www.mca.gov.in/content/mca/global/en/data-and-reports/rd-roc-info/roc-adjudication-orders.html>

39. Adjudication order for violation of Section 134(3) of the Companies Act, 2013 in the matter of GKB HI-TECH LENSES PRIVATE LIMITED

ROC Goa issued an adjudication order dated 4th August, 2025 in the matter of GKB Hi-Tech Lenses Private Limited for violating the provisions of Section 134(3) of the Companies Act, 2013 by failing to report on suspicious transactions identified by its statutory auditor in the Director's Report for the year 2016. The Adjudicating Authority imposed a penalty of ₹300,000 upon the company and a penalty of ₹50,000 each on its four directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=ITOsXdn9mqt2meiToOH24w%3D%3D&type=open>

40. Adjudication order for violation of Section 42 of the Companies Act, 2013 in the matter of CHIRAHARIT LIMITED

ROC Hyderabad issued an adjudication order dated 20th August, 2025 in the matter of Chiraharit Limited for violating Section 42(10) of the Companies Act, 2013 by failing to open a separate bank account for receiving application money from a private placement of shares in June 2016. The Adjudicating Authority imposed a penalty of ₹24,00,000 upon the company and a penalty of ₹24,00,000 each on its four directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=mN4EeU2mm3IEuVEky9tNIw%3D%3D&type=open>

41. Adjudication order for violation of Section 173 of the Companies Act, 2013 in the matter of PONDICHERRY -TINDIVANAM TOLLWAY PRIVATE LIMITED

ROC Hyderabad issued an adjudication order dated 12th August, 2025 in the matter of Pondicherry-Tindivanam Tollway Private Limited for violating Section 173(1) of the Companies Act, 2013, due to a gap of 268 days between two consecutive Board meetings held on 4th September, 2023 and 31st May, 2024, which exceeds the permitted limit of 120 days. The Adjudicating Authority imposed a penalty of ₹25,000 each on three of its directors in default.

<https://www.mca.gov.in/content/mca/global/en/data-and-reports/rd-roc-info/roc-adjudication-orders.html>

42. Adjudication order for violation of Section 39 of the Companies Act, 2013 in the matter of WELLNESS FOREVER MEDICARE LIMITED (application filed Suo moto)

Wellness Forever Medicare Limited, has filed Suo moto application for violating the provisions of Section 39(5) of the Companies Act, 2013. ROC Mumbai issued an adjudication order dated 28th August, 2025 that the company which violated the provisions of Section 39(5) by delaying the filing of Form PAS-3 for an allotment of 3,000 equity shares. The Adjudicating Authority imposed a penalty of ₹35,000 upon the company and a penalty of ₹35,000 each on four directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=APX5pCa68rlgCy0OCFQXmA%3D%3D&type=open>

43. Adjudication order for violation of Section 134 of the Companies Act, 2013 in the matter of LIVING LIQUIDZ INDIA PRIVATE LIMITED

ROC Mumbai issued an adjudication order dated 28th August, 2025 in the matter of Living Liquidz India Private Limited for violating Section 134(2) of the Companies Act, 2013 by failing to attach the auditor's report to its financial statements for the

financial year 2023-24. The Adjudicating Authority imposed a penalty of ₹300,000 upon the company and a penalty of ₹50,000 each on two directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=MxXYMwSjvtRLvNx3v7XOA%3D%3D&type=open>

44. Adjudication order for violation of Section 56 of the Companies Act, 2013 in the matter of HAZEL AGRICULTURAL TECHNOLOGIES PRIVATE LIMITED

ROC Mumbai issued an adjudication order dated 28th August, 2025 in the matter of Hazel Agricultural Technologies Private Limited for violating Section 56(4) of the Companies Act, 2013 by delaying the issuance of share certificates to its initial subscribers. The Adjudicating Authority imposed a penalty of ₹50,000 on the company and each on three directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=FcWQ7lzkmbhZ41SNyKSe%2FA%3D%3D&type=open>

45. Adjudication order for violation of Section 203 of the Companies Act, 2013 in the matter of CONSTRO SOLUTIONS LIMITED

ROC Mumbai issued an adjudication order dated 28th August, 2025 in the matter of Constro Solutions Limited for violating Section 203(4) of the Companies Act, 2013 by failing to appoint a Company Secretary within the stipulated six-months period after the previous one's resignation. The Adjudicating Authority imposed a penalty of ₹500,000 on the company and a penalty of ₹498,000 each on three directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=pMy51Vr6wxDauz0KIWH9sQ%3D%3D&type=open>

46. Adjudication order for violation of Section 12 of the Companies Act, 2013 in the matter of HAWK AEROSPACE PRIVATE LIMITED

ROC Mumbai issued an adjudication order dated 28th August, 2025 in the matter of Hawk Aerospace Private Limited for not maintaining the Registered Office of the company and thus violating the provisions of Section 12 of the Companies Act, 2013. The Adjudicating Authority imposed the penalty of ₹50,000 each upon the company and on two Directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=ujlVaQCn8QR9c5%2FxEvNhw%3D%3D&type=open>

47. Adjudication order for violation of Section 86 of the Companies Act, 2013 in the matter of WELLNESS FOREVER MEDICARE LIMITED

ROC Mumbai issued an adjudication order dated 28th August, 2025 in the matter of Wellness Forever Medicare Limited for violating Section 86 of the Companies Act, 2013 by failing to register a charge created in favour of HDFC Bank Limited within the

stipulated 30-day period. The Adjudicating Authority imposed a penalty of ₹500,000 upon the company and a penalty of ₹50,000 each on its five directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=HuAog4aBgH2CIRxjsdpLZQ%3D%3D&type=open>

48. Adjudication order for violation of Section 42 of the Companies Act, 2013 in the matter of QUICKINSURE INSURANCE BROKERS PRIVATE LIMITED

ROC Pune issued an adjudication order dated 18th August, 2025 in the matter of Quickinsure Insurance Brokers Private Limited for violating Section 42(10) of the Companies Act, 2013 by failing to keep application money in a separate bank account and utilizing the funds before allotment and filing of the return of allotment for FY 2022-23. The Adjudicating Authority imposed a penalty of ₹200,000 upon the company and a penalty of ₹100,000 each on two directors in default.

<https://www.mca.gov.in/content/mca/global/en/data-and-reports/rd-roc-info/roc-adjudication-orders.html>

49. Adjudication order for violation of Section 42 of the Companies Act, 2013 in the matter of QUICKINSURE INSURANCE BROKERS PRIVATE LIMITED

ROC Pune issued an adjudication order dated 18th August, 2025 in the matter of Quickinsure Insurance Brokers Private Limited for violating Section 42(10) of the Companies Act, 2013, by failing to keep application money in a separate bank account and utilizing the funds before allotment and filing of the return of allotment for FY 2023-24. The Adjudicating Authority imposed a penalty of ₹200,000 upon the company and a penalty of ₹100,000 each on two directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=kjHsV1jliHA6qjISgHEF1A%3D%3D&type=open>

50. Adjudication order for violation of Section 42 of the Companies Act, 2013 in the matter of QUICKINSURE INSURANCE BROKERS PRIVATE LIMITED

ROC Pune issued an adjudication order dated 18th August, 2025 in the matter of Quickinsure Insurance Brokers Private Limited for Section 42(10) of the Companies Act, 2013, by failing to keep application money in a separate bank account and utilizing the funds before allotment and filing of the return of allotment. The Adjudicating Authority imposed a penalty of ₹200,000 upon the company and a penalty of ₹100,000 each on two directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=LrUC5Rcy8zgNX%2FydCKrRYQ%3D%3D&-type=open>

51. Adjudication order for violation of Section 149 of the Companies Act, 2013 in the matter of THREE SEASONS EXIM LIMITED

ROC Vijayawada issued an adjudication order dated 28th August, 2025 in the matter of Three Seasons Exim Limited for violating Section 149 of the Companies Act, 2013. The company failed to appoint independent directors as required by Section 149(4), despite having a paid-up capital of over ₹10 crore since FY 2018. The Adjudicating Authority imposed a penalty of ₹300,000 on the company and ₹100,000 each on four directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=2hWe74oMW4JlNhYczhZgJw%3D%3D&type=open>

52. Adjudication order for violation of Section 29 of the Companies Act, 2013 in the matter of THREE SEASONS EXIM LIMITED

ROC Vijayawada issued an adjudication order dated 28th August, 2025 in the matter of Three Seasons Exim Limited for violating Section 29 of the Companies Act, 2013 by failing to facilitate the dematerialization of its existing securities. The Adjudicating Authority imposed a penalty of ₹200,000 on the company and a penalty of ₹50,000 each on three directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=OkpcwElqfaMiaA7bjEdgLg%3D%3D&type=open>

53. Adjudication order for violation of Section 101 of the Companies Act, 2013 in the matter of THREE SEASONS EXIM LIMITED

ROC Vijayawada issued an adjudication order dated 28th August, 2025 in the matter of Three Seasons Exim Limited for violating Section 101 of the Companies Act, 2013 by failing to send a notice for an Extraordinary General Meeting (EGM) held on 12th December 2016 to a member. The Adjudicating Authority imposed a penalty of ₹200,000 on the company and ₹50,000 each on three directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=jlJPvEMi72g7hUifl1riEA%3D%3D&type=open>

54. Adjudication order for violation of Section 134 of the Companies Act, 2013 in the matter of THREE SEASONS EXIM LIMITED

ROC Vijayawada issued an adjudication order dated 05th August, 2025 in the matter of Three Seasons Exim Limited for violating Section 134(3) of the Companies Act, 2013. The company failed to attach a declaration from its independent directors to the board's report for the financial year 2022-2023. The Adjudicating Authority imposed a penalty of ₹300,000 on the company and ₹50,000 each on four directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=Y%2FvyujDbvMyvCTZfiOuHg%3D%3D&type=open>

55. Adjudication order for violation of Section 134 of the Companies Act, 2013 in the matter of THREE SEASONS EXIM LIMITED

ROC Vijayawada issued an adjudication order dated 05th August, 2025 in the matter of Three Seasons Exim Limited for violating Section 134(3) of the Companies Act, 2013. The company failed to attach a declaration from its independent directors to the board's report for the financial year 2019-2020. The Adjudicating Authority imposed a penalty of ₹300,000 on the company and ₹50,000 each on four directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=qAJs56Sdw740RdP2npsnA%3D%3D&type=open>

56. Adjudication order for violation of Section 134 of the Companies Act, 2013 in the matter of THREE SEASONS EXIM LIMITED

ROC Vijayawada issued an adjudication order dated 05th August, 2025 in the matter of Three Seasons Exim Limited for violating Section 134(3) of the Companies Act, 2013. The company failed to attach a declaration from its independent directors to the board's report for the financial year 2021-2022. The Adjudicating Authority imposed a penalty of ₹300,000 on the company and ₹50,000 each on four directors in default.

<https://www.mca.gov.in/bin/dms/getdocument?mds=4vnW3ZorvkLcCLKmfdCx%3D%3D&type=open>

RD Adjudication Orders

1. Adjudication order for violation of Section 134 of the Companies Act, 2013 in the matter of STANLEY LIFESTYLES LIMITED

In the matter of Stanley Lifestyles Limited the *RD (Hyderabad)* vide order dated 13th August, 2025 after considering the facts of the case rejected the appeal against the RoC Bangalore order upon the Company and directors in default for violation of Section 134 of the Companies Act, 2013.

<https://www.mca.gov.in/bin/dms/getdocument?mds=z7m4W2L%2FuS78lyY1LS8oXw%3D%3D&type=open>

2. Adjudication order for violation of Section 12 of the Companies Act, 2013 in the matter of LISUS GLASS & METAL PRODUCTS PRIVATE LIMITED

In the matter of Lisus Glass & Metal Products Private Limited the *RD (Hyderabad)* vide order dated 12th August, 2025 after considering the facts of the case rejected the appeal against the RoC Bangalore upon the Company and directors in default for violation of Section 12(8) of the Companies Act, 2013.

<https://www.mca.gov.in/bin/dms/getdocument?mds=YVQV7Rrcfqd1Dz2zDBS15g%3D%3D&type=open>