

Recognitions Secured for Company Secretaries

Sl. No.	Statute/Authority	Purpose	When Obtained
I. COMPANY LAW AND ALLIED LAWS			
1.	<p>The Companies Act, 2013 and Rules made thereunder</p> <p>(a) [Section 2(25)]</p> <p>(b) [Section 232(7)]</p> <p>(c) [Section 138]</p> <p>(d) [Section 2(38)]</p> <p>(e) [Section 409]</p>	<p>(a) "Company Secretary in Practice" means a Company Secretary who is deemed to be in practice under sub-section (2) of section 2 of the Company Secretaries Act, 1980.</p> <p>(b) To certify whether the merger and amalgamation scheme is being complied with, in accordance with the orders of the Tribunal or not.</p> <p>(c) To conduct internal audit of companies.</p> <p>(d) To be appointed as an expert.</p> <p>(e) Company Secretary who has been in practice for at least fifteen years may be appointed as Technical Member of NCLT.</p>	<p>(a) September 12, 2013</p> <p>(b) December 15, 2016</p> <p>(c) April 1, 2014</p> <p>(d) September 12, 2013</p> <p>(e) September 12, 2013</p>
2.	<p>Companies (Incorporation) Rules, 2014</p> <p>(a) [Section 7(1)(b) & Rule 14]</p> <p>(b) [Section 8 read with Rule 19 and Rule 20(2)(b)]</p>	<p>(a) To make declaration that all the requirements of the Companies Act, 2013 and rules made thereunder in respect of registration and matters precedent or incidental thereto have been complied with.</p> <p>(b) To make declaration that the memorandum and articles of association have been drawn up in conformity with the provisions of Section 8 of the</p>	<p>(a) April 1, 2014</p> <p>(b) April 1, 2014</p>

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	<p>(c) [Section 10A & Rule 23A]</p> <p>(d) [Section 8 & Rule 22(7)]</p>	<p>Companies Act, 2013 and rules made thereunder and that all the requirements relating to registration of the company under section 8 and matters incidental or supplemental thereto have been complied with.</p> <p>(c) To verify the contents of Form No. INC-20A filed under section 10A as provided in the Companies (The Registration Offices and Fees) Rules, 2014.</p> <p>(d) To attach certificate with application certifying that conditions laid down relating to conversion of section 8 Companies into any other kind has been complied with.</p>	<p>(c) December 18, 2018</p> <p>(d) April 1, 2014</p>
3.	<p>Companies (Prospectus and Allotment of Securities) Third Amendment Rules, 2019 [Section 29 read with rule 9A(8)]</p>	<p>To certify e-form PAS-6, for every unlisted public company in respect of Reconciliation of Share Capital</p>	<p>September 30, 2019</p>
4.	<p>Companies (Share Capital and Debentures) Rules, 2014 [Sub-rule (14) of rule 17]</p>	<p>To certify that the buyback of securities has been made in compliance with the provisions of the Act and rules made thereunder.</p>	<p>April 1, 2014</p>

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5.	Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 [Section 204(1) & Rule 9]	To Issue Secretarial Audit Report to: <ul style="list-style-type: none"> • every listed company and every public company having a paid-up share capital of fifty crore rupees or more; or turnover of two hundred fifty crore rupees or more; or • every company having outstanding loans or borrowings from banks or public financial institutions of one hundred crore rupees or more. 	April 1, 2014 and amended vide notification dated January 3, 2020
6.	Companies (Management and Administration) Rules, 2014 (a) [Section 92(2) read with Rule 11] (b) [Rule 20 (ix)]	(a) To certify the Annual Return of a listed company or a company having paid up share capital of ten crore rupees or more or turnover of fifty crore rupees or more. (b) To be appointed as a scrutinizer in every company which has listed its shares on a recognised stock exchange and company having not less than one thousand members to scrutinize the voting and remote e-voting process in a fair and transparent manner.	April 1, 2014
7.	Companies (Appointment and Qualification of Directors) Rules, 2014 (a) Rule 12(1)(ii) (b) Rule 16	(a) To sign Form DIR-6 filed for intimating changes in particulars. (b) Foreign director may allow PCS to file Form DIR-11.	April 1, 2014 April 6, 2015

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8.	Nidhi Rules, 2014 (a) [Rule 21] (b) [Section 406 read with rule 5 (2)]	(a) To certify half-yearly return of every company covered in Rule 2 of Nidhi Rules, 2014. (b) To certify return of statutory compliances filed with the Registrar by Nidhi.	April 1, 2014
9.	Companies (Issue of Global Depository Receipts) Rules, 2014 [Rule 4 (5)]	To oversee all the compliances relating to issue of depository receipts and to provide compliance report to be placed at the meeting of Board of Directors.	April 1, 2014
10.	Companies (The Registration Offices and Fees) Rules, 2014 [Rule 8(12)]	To pre-certify e-forms: INC-12, INC-18, INC-20A, INC-22, INC-22A, INC-27, INC-28, PAS-3, SH-7, CHG-1, CHG-4, CHG-9, MGT-7, MGT-14, DIR-3 KYC, DIR-3C, DIR-5, DIR-6, DIR-12, MR-1, MR-2, MSC-1, MSC-3, MSC-4, NDH-1, NDH-2, NDH-3, NDH-4, GNL-1, GNL-3, GNL-4, RD GNL-5, STK-2, FTE, BEN-2, AOC-4 XBRL, AOC-4, AOC-4 CFS NBFC, AOC-4 NBFC, GNL-4, 23AC XBRL, 23ACA XBRL, 20B, 21A, 23AC, 23ACA, MGT-10, CSR-1, PAS-6, PAS-2.	April 1, 2014
11.	Limited Liability Partnership Rules, 2009	To certify LLP forms.	April 1, 2009
12.	National Company Law Tribunal Rules, 2016 [Section 432 read with Rule 45]	To act as Authorised Representative before the National Company Law Tribunal.	July 21, 2016
13.	National Company Law Appellate Tribunal Rules, 2016 [Section 432 read with Rule 63]	To act as Authorised Representative before the National Company Law Appellate Tribunal.	July 21, 2016

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14.	Companies (Mediation and Conciliation) Rules, 2016 [Rule 4 (g)]	To be empanelled as Mediator or Conciliator.	September 9, 2016
15.	Companies (Registered Valuers and Valuation) Rules, 2017 [Section 247 of Companies Act, 2013 read with Rule 4]	To act as Registered Valuer.	October 18, 2017
16.	Insolvency and Bankruptcy Code, 2016	To act as Insolvency Professional subject to their membership with IIP & IBBI.	May 28, 2016
17.	Companies (Corporate Social Responsibility Policy) Amendment Rules, 2021 [Rule 4]	To verify Form CSR-1 digitally for the entity which intends to undertake any CSR activity.	April 1, 2021
II. SECURITIES LAWS AND CAPITAL MARKETS			
18.	The Securities and Exchange Board of India Act, 1992 [Section 15V, Explanation (b)]	To appear as Authorised Representative before the Securities Appellate Tribunal.	December, 1999
19.	Securities Contracts (Regulation) Act, 1956 [Section 22C]	To appear as Authorized Representative before the Securities Appellate Tribunal.	December, 1999
20.	Securities Contracts (Regulations) Rules, 1957 [Guideline No. F1/8/SE/ 82 dt. 20.8.1982]	To appear as Authorized Representative before the Securities Appellate Tribunal.	August, 1982
21.	The Depositories Act, 1996 [Section 23C, Explanation (b)]	To appear as Authorised Representative before the Securities Appellate Tribunal.	December, 1999

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22.	SEBI (Depositories Participants) Regulations, 2018 [Regulation 76]	To issue quarterly certificate with regard to reconciliation of the total issued capital, listed capital and capital held by depositories in dematerialized form, details of changes in share capital during the quarter, and in-principle approval obtained by the issuer from all the Stock Exchanges where it is listed in respect of such further issued capital.	October 3, 2018 Initially granted Vide Circular No. D&CC/ FITTC/ CIR – 16/2002 dated December 31, 2002
23.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [Regulation 40 (9)]	To certify that all certificates have been issued within thirty days of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls /allotment services.	September 2, 2015
24.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [Schedule V, Part C of Clause (10)(i)]	To certify that none of the directors on the board of the company have been debarred or disqualified from being appointed or continuing as directors of companies by SEBI/Ministry of Corporate Affairs or any such statutory authority.	September 2, 2015
25.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [Schedule V, Clause E]	To issue Compliance Certificate regarding compliance of conditions of Corporate Governance.	September 2, 2015
26.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [Regulation 24A(1)]	To conduct Secretarial Audit of every listed entity and its material unlisted subsidiaries incorporated in India.	May 9, 2018

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27.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [Regulation 24A(2)]	To provide Annual Secretarial Compliance Report to all the listed entities on compliance of all applicable SEBI Regulations and Circulars/ Guidelines issued thereunder.	February 8, 2019
28.	CDSL Letter No. CDSL/ADM/RK/2019/0853	To issue Networth Certificate to be submitted by the issuers at the time of admitting securities in CDSL.	December 17, 2019
29.	NSDL Letter No. NSDL/II/MISC/DG/246/2020	To issue Networth Certificate to be submitted by the issuers at the time of admitting securities in NSDL.	January 2, 2020
30.	NSDL Byelaws 10.3.1	To conduct Internal Audit of operations of Depository Participants, at intervals of not more than three months and furnish a copy of the internal audit report to the Depository.	March, 1999
31.	CDSL Byelaws 16.3.1	To conduct Internal Audit of operations of Depository Participants, at such intervals as may be specified by CDSL from time to time and furnish a copy of the internal audit report to CDSL.	September, 1999
32.	NSDL/Policy/2006/0021	To carry out Concurrent Audit of Depository Participants which covers audit of the process of demat account opening, control and verification of Delivery Instruction Slips (DIS).	June 24, 2006
33.	CDSL/AUDIT/DP/721	To carry out Concurrent Audit of Depository Participants which covers audit of the process of demat account opening, control and verification of Delivery Instruction Slips (DIS).	July 11, 2006

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34.	SEBI Circular MRD/ DMS/CIR-29/2008	To conduct Internal Audit of Stock Brokers / Trading Members / Clearing Members.	October 21, 2008
35.	SEBI Circular SEBI/ MIRSD/CRA/Cir-01/2010	To conduct Internal Audit for Credit Rating Agencies (CRAs).	January 6, 2010
36.	SEBI (Investment Advisers) Regulations, 2013 [Regulation 19(3)]	To conduct Compliance Audit of an Investment Adviser.	January 21, 2013
37.	SEBI (Research Analysts) Regulations, 2014 [Regulation 25(3)]	To conduct annual audit of Research Analyst or research entity in respect of compliance with these regulations.	September 1, 2014
38.	SEBI Circular SEBI/HO/MIRSD/IR/P/2018/73	To conduct Internal Audit of Registrar and Share Transfer Agent (RTA).	April 20, 2018
39	SEBI (Delisting of Equity Shares) Regulations, 2021 (a) [Regulation 10 (3)] (b) [Proviso to Regulation 21 (a)]	(a) To conduct due diligence and certify that the buying, selling and dealing in equity shares of the company carried out by the acquirer or its related entities and top twenty five shareholders is in compliance with the applicable provisions of securities laws including compliance with sub-regulation (5) of regulation 4 of the SEBI (Delisting) Regulations, 2021. (b) To certify the shares held by inactive shareholders.	June 10, 2021

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40.	SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 (a) [Regulation 13] (b) [Regulation 26 (3)] (c) [Regulation 27 (4)] (d) [Regulation 36]	(a) To certify that the scheme(s) has been implemented in accordance with the SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 and in accordance with the resolution of the company in the general meeting. (b) To certify compliance with Regulation 26 (2) at the time of adoption of latest Balance Sheet by the company. (c) To certify compliance with Regulation 27 (3) at the time of adoption of shares holding appeared in latest Balance Sheet by the company. (d) To certify that the issue of sweat equity shares has been made in accordance with SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 and in accordance with the resolution passed by the company authorizing the issue of such sweat equity shares.	August 13, 2021
41.	SEBI Circular SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/65	To provide Certificate of Compliance to Registrars to an Issue and Share Transfer Agents (RTA).	November 3, 2021

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42.	SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018 [Regulation 163 (2)]	To issue a Certificate of Compliance to the issuer certifying that the proposed preferential issue is being made in accordance with the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018.	January 14, 2022
III. International Financial Services Centres Authority			
43.	International Financial Services Centres Authority (Registration of Insurance Business) Regulations, 2021		October 18, 2021
	(a) [FORM B, Point 12- Certificate from CA / CS]	(a) To certify that all the requirements of the Act read with IFSCA (Registration of Insurance Business) Regulations, 2021 and notifications issued under section 2CA of the Act have been complied with by the applicant.	
	(b)[FORM- C, Point 14- Certificate from CA/CS]	(b) To certify that all the requirements of the Act read with IFSCA (Registration of Insurance Business) Regulations, 2021 and notifications issued under section 2CA of the Act have been complied with by the applicant.	
44.	International Financial Services Centres Authority (Insurance Intermediary) Regulations, 2021 [Regulation 13 (6)]	To certify the net worth of IFSC Insurance Intermediary Office (IIIO) on half yearly basis.	October 18, 2021

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45.	International Financial Services Centres Authority (Capital Market Intermediaries) Regulations, 2021 (a) [Regulation 22 (1)] (b) [Schedule I, Part I, Point 12]	(a) To conduct the annual audit of the registered capital market intermediary in respect of compliance with IFSCA (Capital Market Intermediaries) Regulations, 2021. (b) To issue Net-worth Certificate to the applicant willing to register as a capital market intermediary with the IFSCA.	October 18, 2021
46.	International Financial Services Centres Authority (IFSCA) [Circular 329/IFSCA/DPM/TS/QJ/2021-22/1]	To certify the average annual turnover and networth of the entity which is required to fulfil the conditions as mentioned in the said circular to act as "Qualified Jeweller".	January 19, 2022
47.	International Financial Services Centres Authority (Insurance Web Aggregator) Regulations, 2022 [Regulation 8(5)]	To certify the paid-up capital and net-worth requirements of the Insurance Web Aggregator.	January 31, 2022
IV. TAXATION LAWS			
48.	Income Tax Act, 1961 and Income Tax Rules, 1962 [Rule 49 (a) read with Rule 50(2A) and 288 (2) (v)]	To Act as authorised Income Tax Practitioner.	July 21, 1979

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49.	Income-tax Act, 1961 and Income Tax Rules, 1962 [Section 288(2) (v) and Rule 50 (2A)]	To appear as Authorised Representative before the Income-Tax Authorities.	July, 1979
50.	The Customs Act, 1962 [Section 146A(2)(d)] read with Customs (Appeals) Rules, 1982 [Rule 9(c)] and the Central Excise Act, 1944 [Section 35Q(2) (c)] read with Central Excise (Appeals) Rules, 2001 [Rule 12(c)]	To act as Authorized Representative before the Customs, Excise and Service Tax Appellate Tribunal.	October, 1982
51.	Authority for advance Ruling, (Customs, Central Excise and Service Tax) Procedures Regulations, 2005 [Regulation 2(d) (i)]	To act as Authorized Representative before the Advance Ruling Authority.	January, 2005
52.	Arunachal Pradesh Goods Tax Act, 2005 and Arunachal Pradesh Goods Tax Rules, 2005 [Section 83(1)(c) read with Rule 78(1)(a)]	To Act as Authorised Representative before VAT authorities.	
53.	Bihar Value Added Tax Act, 2005 [Section 87(d)]	To appear before VAT authorities appointed under Section 10 or the Tribunal or an Officer of the Bureau of Investigation constituted under Section 86 of the Act.	April, 2005
54.	West Bengal Value Added Tax Rules, 2005 [Rule 2(1)(a)(iv)]	To appear before Appellate and Revisional Board, the Commissioner, the Special Commissioner, the Additional Commissioner or any person appointed to assist the Commissioner on behalf of a dealer.	April, 2005

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55.	Daman and Diu Value Added Tax Regulation, 2005 [Regulation 82(1)(b)]	To appear before any VAT authority in connection with any proceedings under this Regulation.	April, 2005
56.	Delhi Value Added Tax Act, 2004 [Section 82 (1) (b)]	To appear before VAT Authorities.	April, 2009
57.	Goa Value Added Tax Act, 2005 [Section 82(1)(b)]	To appear before any VAT authority including the Tribunal in connection with any proceedings under this Act.	April, 2005
58.	Gujarat VAT Act, 2003 and Rules 2006 [Section 81 (1) read with Rule59(1)(a)]	To act as Authorized Representative.	
59.	Haryana VAT Act, 2003 [Sec 52(2)(iii) read with Section 288(2) (v) of Income Tax Act, 1961 and rule 50 (2A) of Income Tax Rules, 1962]	To act as Authorised Representative before VAT authorities.	
60.	Jharkhand Value Added Tax Act, 2005 [Section 2(i)(e) read with Section 63 (1)]	To act as a tax practitioner to conduct VAT Audit.	February, 2006
61.	Karnataka VAT Rules, 2005 (i) Rule 168(1) (i) Rule 34(1) read with Rule 168(2)(c)(iv)	(i) To act as Authorized Representative. (ii) To conduct VAT Audit as a tax practitioner.	April, 2005
62.	Kerala Value Added Tax Act, 2003 [Section 86(1)(e) read with Rule 97 (3) (a)]	To appear before VAT Authorities in connection with any proceedings under this Act.	April, 2009
63.	Maharashtra VAT Act, 2002 [Sec 82 (1)(b)]	To act as Authorised Representative before VAT Authorities.	April, 2013

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64.	Meghalaya VAT Rules, 2005 [Rule 2 (1) (f) (iv)]	To act as Authorised Representative before VAT authorities.	
65.	Rajasthan VAT Rules 2006 [Rule 61(a), 62(b) read with Section 288(2) (v) of Income-Tax Act, 1961 and Rule 49, Rule 50 (2A) of the Income Tax Rules, 1962]	To act as Authorised Representative before VAT authorities.	
66.	Uttar Pradesh VAT Rules, 2008 [Rule 2 (1)(e) read with Rule 73]	To act as Authorised Representative before VAT authorities.	
67.	Tamil Nadu Value Added Tax Act, 2006 [Section 78 (c)] read with Rule 17(2)(b)(i) (C) of the Tamil Nadu Vat Rules, 2007]	To act as Authorised Representative before VAT authorities.	December 15, 2006
68.	Customs Brokers Licensing Regulations, 2018 [Regulation 5 (1) (h) (ii)]	To act as Customs Broker.	May 14, 2018
69.	The Central Goods and Service Tax Act, 2017 and the Central Goods and Services Tax Rules, 2017 [Section 48(1) read along with Rule 83 (1) (iv) (c)]	To act as GST Practitioner.	July, 2017
70.	The Central Goods and Service Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
71.	The Andhra Pradesh Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017

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72.	The Arunachal Pradesh Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
73.	The Assam Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
74.	The Bihar Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
75.	The Chhattisgarh Goods and Services Tax Act, 2017 [Section 116 (2) (c)]	To appear as Authorised Representative.	July, 2017
76.	The Delhi Goods and Services Tax Act, 2017 [Section 116 (2) (c)]	To appear as Authorised Representative.	July, 2017
77.	The Goa Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
78.	The Gujarat Goods And Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
79.	The Haryana Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017

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80.	The Himachal Pradesh Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
81.	The Jammu and Kashmir Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
82.	The Jharkhand Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
83.	The Karnataka Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
84.	The Kerala State Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	September, 2017
85.	The Madhya Pradesh Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
86.	The Maharashtra Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017

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87.	The Manipur Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
88.	The Meghalaya Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
89.	The Mizoram Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
90.	The Nagaland Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
91.	The Odisha Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
92.	The Puducherry Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
93.	The Punjab Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017

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94.	The Rajasthan Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
95.	The Sikkim Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
96.	The Tamil Nadu Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
97.	The Telangana Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
98.	The Tripura State Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
99.	The Uttarakhand Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
100.	The Uttar Pradesh Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017

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101.	The West Bengal Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
102.	The Union Territory Goods and Services Tax Act, 2017 (Applicable to Andaman and Nicobar Islands, Lakshadweep, Dadra and Nagar Haveli and Daman and Diu, Ladakh, Chandigarh and Other Territory)	To Act as Authorised Representative before the Income-Tax Authorities.	July, 2017
V. OTHER LEGISLATIONS			
103.	The Gujarat Stamp (Payment of Duty by Means of E-Stamping) (Amendment) Rules, 2019 [Rule 13 (i)]	To be appointed as Authorised Collection Centre for E-Stamping.	August 23, 2019
104.	Para 9 (1) (B) (i) of Schedule 1 to Notification No. FEMA 20/2000-RB	To issue certificate in case of an Indian company accepting investment from a foreign investor, thereby confirming compliance of Companies Act, 2013 and other matters.	May 3, 2000
105.	Foreign Exchange Management (Transfer of Issue of Securities by a Person Resident Outside India) Regulations, 2000 [Regulation 8 (2) (II)]	To certify under FDI policy on behalf of Indian companies accepting investment.	May 30, 2000
106.	Reserve Bank of India Circular DBOD No.BP. PC.46/08.12.001/ 2008-09	Diligence Report for Banks regarding compliance of various statutory prescriptions.	September 19, 2008

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107.	{Foreign Exchange Management (Transfer or issue of Security by a Person Resident outside India) Regulations, 2000} FED Master Direction No. 18/2015-16	To issue No Objection/Tax Clearance Certificate regarding transfer of capital contribution/ profit shares of a Limited Liability Partnership from resident to non- resident / non-resident to resident.	January 1, 2016
108.	Foreign Trade Policy 2015-2020	To certify the following: i. Certificate for issue of EPCG authorization (Appendix 26) Certificate for EPCG Redemption (Appendix 26A).	June 30, 2019
		ii. Certificate showing sales turnover of ammunition (indigenous and imported) during the preceding three licensing years (Annexure ANF 2B). iii. Certification in respect of application for grant of Status Certificate (ANF 3A). iv. Certification in respect of application for Served from India Scheme (Annexure ANF 3B). v. Certificate for Agriculture Infrastructure incentive scrip under VKGUY (Annexure to ANF 3D).	
109.	Telecom Regulatory Authority of India (Amendment) Act, 2000 [Section 17 and Explanation (b) thereto]	To act as Authorized Representative before the Telecom Disputes Settlement and Appellate Tribunal.	March 25, 2000

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110.	Department of Tele-communications vide No. 18-05/2009-CS-I	To certify the documents for other Service Providers (OSP) Registration for the entities which propose to provide the services such as Tele-banking, Tele-medicine, Tele-trading, E-commerce Call Centers, both International and Domestic, Network Operation Centers and Vehicle Tracking Systems etc.	January 12, 2016
111.	Department of Tele-communications (Access Service Cell) [Notification No. 800-23/2011-VAS (Vol. II)].	To certify Registration along with Article of Association, Memorandum of Understanding, Details of Promoters/ Partner/ Shareholder, Net worth, Paid up Capital, Foreign Direct Investment in the company for the purpose of Application to Department of Telecommunications for Grant of Unified License (Virtual Network Operators)/ Authorisation for Additional Services.	May 31, 2016
112.	Guidelines for Grant of Unified License (Virtual Network Operators) (Point viii & X of Para 1, Annexure I & II) Department of Tele-communications	To certify Paid-up equity capital and net-worth.	May 31, 2016
113.	Policy guidelines for the Empanelment of Private FM Radio Stations and fixation of rates for Government Advertisements by DAVP – 2016 Ministry of Information and Broadcasting, Directorate of Advertising and Visual Publicity vide F. No. 22204/1/2013/ EAC/AV (Part File Volume B)	To certify the prescribed revenue details, latest profit & loss accounts, balance sheet and actual tax payment including service tax for previous financial year and the amount of advertisement revenue generated by Private FM Radio Stations during the previous financial year preceding the date of application.	August 12, 2016

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114.	PFRDA (Procedure for Inquiry by Adjudicating Officer) Regulations, 2015 [Regulation 7]	To act as Authorised Representative before the Adjudicating Officer or the Member.	January 6, 2015
115.	PFRDA (Internal Audit of Custodian of Securities) Guidance Note 2015 [Clause 1]	To conduct Internal Audit of Custodian of Securities on quarterly basis.	September 1,
116.	PFRDA (Retirement Adviser) Regulations, 2016 [Regulation 4 (2)(b)]	To act as Retirement Adviser.	June 13, 2016
117.	The IRDA (Registration of Indian Insurance Companies) Regulations, 2000 [Explanation to Regulation 10(2)(i)]	To certify that the applicant has complied with all the requirements relating to registration fees equity, share capital and other requirements of the Insurance Regulatory and Development Authority Act, 1999.	July, 2000
118.	IRDAI {Registration and Operations of International Financial Service Centre Insurance Offices (IIO)} Guidelines, 2017 [Clause 12] & [Clause 13]	To certify that all the requirements relating to processing fees, assigned capital, NOF and other requirements have been complied with by the applicant from a foreign country.	December 21, 2017
119.	Transparent Inspection Scheme Department of Labour, Government of Haryana [Clause 2, Part B]	To provide third party certification under Transparent Inspection Policy.	June 24, 2016
120.	Third Party Certification/Audit Scheme Department of Labour, Government of Haryana [Clause 2 (ii)]	To provide third party certification.	August 10, 2016

Sl. No.	Statute/Authority	Purpose	When Obtained
121.	Competition Act, 2002 [Section 35]	To act as Authorised Representative before the Competition Commission of India.	March 31, 2003
122.	Special Economic Zones Rules, 2006 [Rule 61]	To act as Authorized Representative before the Board of Approval Zones.	February, 2006
123.	Central Electricity Regulatory Commission (Miscellaneous Provisions) Order, 2016	To act as Authorized Representative before the Central Electricity Regulatory Commission.	March 23, 2016
124.	Real Estate (Regulation and Development) Act, 2016 [Section 56]	To act as Authorised Representative before the Appellate Tribunal or the Regulatory Authority or the adjudicating officer, as the case may be.	March 26, 2016
125.	The Trade Marks Rules, 2017 [Rule 144]	To be registered as a Trade Marks Agent.	March 6, 2017
126.	Petroleum and Natural Gas Regulatory Board (Gas Exchange) Regulations, 2020 [Regulation 18 (4)]	To certify the shareholding pattern of a Gas Exchange.	September 28, 2020
127.	Petroleum and Natural Gas Regulatory Board (Gas Exchange) Regulations, 2020 [Regulation 19 (3)]	To certify the shareholding pattern of a Clearing Corporation.	September 28, 2020
128.	Consumer Protection (Direct Selling) Rules, 2021 [Rule 5 (1) (g)]	To certify all the information provided by the direct selling entity on its website.	December 28, 2021

Sl. No.	Statute/Authority	Purpose	When Obtained
B. FOR COMPANY SECRETARY IN EMPLOYMENT			
1.	IRDAI(Corporate Governance) Guidelines for Insurers in India [Clause 11.4.2]	To be designated as Compliance Officer.	May 18, 2005
2.	Companies Act, 2013 [Section 2(24)]	"Company Secretary" or "Secretary" means a company secretary as defined in clause (c) of sub-section (1) of section 2 of the Company Secretaries Act, 1980 who is appointed by a company to perform the functions of a company secretary under the Act.	September 12, 2013
3.	Companies Act, 2013 [Section 203 (1)(ii) read with Rule 8 & Rule 8A of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014]	To be appointed as Key Managerial Personnel.	September 12, 2013
4.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [Regulation 6]	To be appointed as Compliance Officer except for units issued by Mutual Funds.	September 2, 2015
5.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [Regulation 7(3)]	A Compliance Certificate to be submitted to the exchange, duly signed by both the Compliance Officer of the company and the Authorised Representative of the share transfer agent, wherever applicable, within thirty days from the end of the Financial year, certifying that all activities in relation to both physical and electronic share transfer facility are maintained either in house or by RTA registered with SEBI.	September 2, 2015

Sl. No.	Statute/Authority	Purpose	When Obtained
6.	SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 [Regulation 27(2)(c)]	The quarterly compliances report on corporate governance submitted by listed entity to the stock exchange shall be signed either by the Compliance Officer or the Chief Executive Officer of the listed entity.	September 2, 2015
7.	IRDA (Registration of Indian Insurance Companies) Regulation, 2000 [Regulation 2(1)(i)]	To be appointed as the Key Management Person.	February 22, 2016
8.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [Regulations 16(1)(d)]	Senior management shall specifically include Company Secretary excluding Board of Directors.	May 9, 2018
9.	SEBI's Circular SEBI/110/DDHS/ CIR/P/2018/144	The SEBI guidelines for fund raising by issuance of debt securities by Large Corporate (LC) mandates that the disclosures made by the LC to the Stock Exchange with respect to issuance of debt securities, shall be certified both by the Company Secretary and the Chief Financial Officer of the LC.	November 26, 2018
10.	Petroleum and Natural Gas Regulatory Board (Gas Exchange) Regulations, 2020 [Regulation 30(1)]	To be appointed as 'Compliance Officer' in every Gas Exchange or Clearing Corporation.	September 28, 2020
11.	IFSCA (Issuance and Listing of Securities) Regulations, 2021 [Regulation 130]	To be appointed as 'Compliance Officer' in the listed entity.	July 16, 2021

Sl. No.	Statute/Authority	Purpose	When Obtained
12.	Life Insurance Corporation General Regulations, 2021 [Regulation 2 (1) (c)]	"Company Secretary" shall mean a person who is a member of the Institute of Company Secretaries of India, constituted under the Company Secretaries Act, 1980 (56 of 1980) and who is the head of the secretarial function of the Corporation.	July 22, 2021
13.	SEBI (Issue and Listing of Non-Convertible Securities) Regulations, 2021 [Regulation 27 (4)]	To be appointed as 'Compliance Officer'.	August 9, 2021