

Recognitions Secured for Company Secretaries (updated till February 7, 2023)

Sl. No.	Statute/ Authority	Purpose	When Obtained
I. COMPANY LAW AND ALLIED LAWS			
1.	The Companies Act, 2013 and Rules made thereunder (a) [Section 2(25)] (b) [Section 232(7)] (c) [Section 138] (d) [Section 2(38)] (e) [Section 409]	(a) "Company Secretary in Practice" means a Company Secretary who is deemed to be in practice under sub-section (2) of section 2 of the Company Secretaries Act, 1980. (b) To certify whether the merger and amalgamation scheme is being complied with, in accordance with the orders of the Tribunal or not. (c) To conduct internal audit of companies. (d) To be appointed as an expert. (e) Company Secretary who has been in practice for at least fifteen years may be appointed as Technical Member of NCLT.	(a) September 12, 2013 (b) December 15, 2016 (c) April 1, 2014 (d) September 12, 2013 (e) September 12, 2013
2.	Companies (Incorporation) Rules, 2014 (a) [Section 7(1)(b) & Rule 14] (b) [Section 8 read with Rule 19 and Rule 20(2)(b)] (c) [Section 10A & Rule 23A] (d) [Section 8 & Rule 22(7)]	(a) To make declaration that all the requirements of the Companies Act, 2013 and rules made thereunder in respect of registration and matters precedent or incidental thereto have been complied with. (b) To make declaration that the memorandum and articles of association have been drawn up in conformity with the provisions of Section 8.	(a) April 1, 2014 (b) April 1, 2014 (c) December 18, 2018 (d) April 1, 2014

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		<p>(c) Companies Act, 2013 and rules made thereunder and that all the requirements relating to registration of the company under section 8 and matters incidental or supplemental thereto have been complied with. To verify the contents of Form No. INC-20A filed under section 10A as provided in the Companies (The Registration Offices and Fees) Rules, 2014.</p> <p>(d) To attach certificate with application certifying that conditions laid down relating to conversion of section 8 Companies into any other kind has been complied with.</p>	
3.	<p>Companies (Prospectus and Allotment of Securities) Third Amendment Rules, 2019</p> <p>[Section 29 read with Rule 9A(8)]</p>	To certify e-form PAS-6, for every unlisted public company in respect of Reconciliation of Share Capital.	September 30, 2019
4.	<p>Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014</p> <p>[Section 204(1) & Rule 9]</p>	<p>To Issue Secretarial Audit Report to:</p> <p>(a) every listed company and every public company having a paid-up share capital of fifty crore rupees or more; or turnover of two hundred fifty crore rupees or more; or</p> <p>(b) every company having outstanding loans or borrowings from banks or public financial institutions of one hundred crore rupees or more.</p>	<p>(a) April 1, 2014</p> <p>(b) April 1, 2020</p>

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5.	Companies (Management and Administration) Rules, 2014 (a) [Section 92(2) read with Rule 11] (b) [Rule 20(ix)]	(a) To certify the Annual Return of a listed company or a company having paid up share capital of ten crore rupees or more or turnover of fifty crore rupees or more. (b) To be appointed as a scrutinizer in every company which has listed its shares on a recognised stock exchange and company having not less than one thousand members to scrutinize the voting and remote e-voting process in a fair and transparent manner.	April 1, 2014
6.	Companies (Appointment and Qualification of Directors) Rules, 2014 (a) Rule 12(1)(ii) (b) Rule 16	(a) To sign Form DIR-6 filed for intimating changes in particulars. (b) Foreign director may allow PCS to file Form DIR-11.	(a) April 1, 2014 (b) January 19, 2015
7.	Nidhi Rules, 2014 (a) [Rule 21] (b) [Section 406 read with rule 5(2)]	(a) To certify half-yearly return of every company covered in Rule 2 of Nidhi Rules, 2014. (b) To certify return of statutory compliances filed with the Registrar by Nidhi.	(a) April 1, 2014 (b) April 1, 2014
8.	Companies (Issue of Global Depository Receipts) Rules, 2014 [Rule 4 (5)]	To oversee all the compliances relating to issue of depository receipts and to provide compliance report to be placed at the meeting of Board of Directors.	April 1, 2014

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9.	Companies (The Registration Offices and Fees) Rules, 2014 [Rule 8(12)]	To pre-certify e-forms: INC-12, INC-18, INC-20A, INC-22, INC-22A, INC-27, INC-28, PAS-3, SH-7, CHG-1, CHG-4, CHG-9, MGT-7, MGT-14, DIR-3 KYC, DIR-3C, DIR-5, DIR-6, DIR-12, MR-1, MR-2, MSC-1, MSC-3, MSC-4, NDH-1, NDH-2, NDH-3, NDH-4, GNL-1, GNL-3, GNL-4, RD GNL-5, STK-2, FTE, BEN-2, AOC-4 XBRL, AOC-4, AOC-4 CFS NBFC, AOC-4 NBFC, GNL-4, 23AC XBRL, 23ACA XBRL, 20B, 21A, 23AC, 23ACA, MGT-10, CSR-1, PAS-6, PAS-2.	April 1, 2014
10.	The National Company Law Tribunal Rules, 2016 [Section 432 read with Rule 45]	To act as Authorised Representative before the National Company Law Tribunal.	July 21, 2016
11.	The National Company Law Appellate Tribunal Rules, 2016 [Section 432 read with Rule 63]	To act as Authorised Representative before the National Company Law Tribunal.	July 21, 2016
12.	Companies (Mediation and Conciliation) Rules, 2016 [Rule 4(g)]	To be empanelled as Mediator or Conciliator.	September 9, 2016
13.	Companies (Registered Valuers and Valuation) Rules, 2017 [Section 247 read with Rule 4]	To act as Registered Valuer.	October 18, 2017
14.	Companies (Corporate Social Responsibility Policy) Amendment Rules, 2021 [Rule 4(2)(b)]	To verify Form CSR-1 digitally for the entity which intends to undertake any CSR activity.	January 22, 2021

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15.	The Limited Liability Partnership Act, 2008	To certify LLP forms.	January 9, 2009
16.	Insolvency and Bankruptcy Board of India (Insolvency Professionals) Regulations, 2016 [Regulation 5]	To act as Insolvency Professional.	November 29, 2016
17.	Section 378X	To act as Secretary of Producer Company.	February 11, 2021
II. SECURITIES LAWS AND CAPITAL MARKETS			
18.	The Securities and Exchange Board of India Act, 1992 [Explanation (b) to Section 15V]	To appear as Authorised Representative before the Securities Appellate Tribunal.	December, 1999
19.	Securities Contracts (Regulation) Act, 1956 [Section 22C]	To appear as Authorized Representative before the Securities Appellate Tribunal.	December, 1999
20.	Securities Contracts (Regulations) Rules, 1957 [Guideline No. F1/8/SE/ 82 dt. 20.8.1982]	To appear as Authorized Representative before the Securities Appellate Tribunal.	August, 1982
21.	The Depositories Act, 1996 [Section 23C, Explanation (b)]	To appear as Authorised Representative before the Securities Appellate Tribunal.	December, 1999

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22.	SEBI (Depositories Participants) Regulations, 2018 [Regulation 76]	To issue quarterly certificate with regard to reconciliation of the total issued capital, listed capital and capital held by depositories in dematerialized form, details of changes in share capital during the quarter, and in-principle approval obtained by the issuer from all the Stock Exchanges where it is listed in respect of such further issued capital.	October 3, 2018 Initially granted Vide Circular No. D&CC/ FITTC/ CIR -16/2002 dated December 31, 2002
23.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [Regulation 40(9)]	To certify that all certificates have been issued within thirty days of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls /allotment services.	September 2, 2015
24.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [Schedule V, Part C of Clause (10)(g)(i)]	To certify that none of the directors on the board of the company have been debarred or disqualified from being appointed or continuing as directors of companies by SEBI/Ministry of Corporate Affairs or any such statutory authority.	September 2, 2015
25.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [Schedule V, Clause E]	To issue Compliance Certificate regarding compliance of conditions of Corporate Governance.	September 2, 2015
26.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [Regulation 24A(1)]	To conduct Secretarial Audit of every listed entity and its material unlisted subsidiaries incorporated in India.	May 9, 2018

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27.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [Regulation 24A(2)]	To provide Annual Secretarial Compliance Report to all the listed entities and its material unlisted subsidiaries incorporated in India on compliance of all applicable SEBI Regulations and Circulars/ Guidelines issued thereunder.	February 8, 2019
28.	SEBI Circular No. MRD/ DMS/CIR-29/2008	To conduct Internal Audit of Stock Brokers / Trading Members / Clearing Members.	October 21, 2008
29.	SEBI Circular No. SEBI/ MIRSD/CRA/Cir-01/2010	To conduct Internal Audit for Credit Rating Agencies (CRAs).	January 6, 2010
30.	SEBI (Investment Advisers) Regulations, 2013 [Regulation 19(3)]	To conduct Compliance Audit of an Investment Adviser.	January 21, 2013
31.	SEBI (Research Analysts) Regulations, 2014 [Regulation 25(3)]	To conduct annual audit of Research Analyst or research entity in respect of compliance with these regulations.	September 1, 2014
32.	SEBI Circular No. SEBI/HO/MIRSD/IR/P/2018/73	To conduct Internal Audit of Registrar and Share Transfer Agent (RTA).	April 20, 2018
33.	SEBI (Delisting of Equity Shares) Regulations, 2021 (a) [Regulation 10(3)] (b) [Proviso to Regulation 21]	(a) To conduct due diligence and certify that the buying, selling and dealing in equity shares of the company carried out by the acquirer or its related entities and top twenty-five shareholders is in compliance with the applicable provisions of securities laws including compliance with sub-regulation (5) of regulation 4 of the SEBI (Delisting) Regulations, 2021. (b) To certify the shares held by inactive shareholders.	June 10, 2021

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34.	SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 (a) [Regulation 13] (b) [Regulation 26(3)] (c) [Regulation 27(4)] (d) [Regulation 36]	(a) To certify that the scheme(s) has been implemented in accordance with the SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 and in accordance with the resolution of the company in the general meeting. (b) To certify compliance with Regulation 26 (2) at the time of adoption of latest Balance Sheet by the company. (c) To certify compliance with Regulation 27 (3) at the time of adoption of shares holding appeared in latest Balance Sheet by the company. (d) To certify that the issue of sweat equity shares has been made in accordance with SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 and in accordance with the resolution passed by the company authorizing the issue of such sweat equity shares.	August 13, 2021
35.	SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/65	To provide Certificate of Compliance to Registrars to an Issue and Share Transfer Agents (RTA).	November 3, 2021
36.	SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018 [Regulation 163(2)]	To issue a Certificate of Compliance to the issuer certifying that the proposed preferential issue is being made in accordance with the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018.	January 14, 2022

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37.	SEBI Circular No. SEBI/HO/DDHS/DDHS-RACPOD1/P/CIR/2022/156	"Practicing Company Secretary" to be an expert for providing an opinion as sought by the SEBI while processing the draft scheme of arrangement.	November 17, 2022
38.	SEBI (Buy-Back of Securities) Regulations, 2018 (i) Regulation 2 (i) (1a) (ii) Regulation 11(i), 11 (iii) & Regulation 21 (iii)	(i) "Secretarial Auditor means an auditor as defined in the Secretarial Standards – I issued by the Institute of Company Secretaries of India;" (ii) Secretarial Auditor to be present for extinguishment of physical certificates of securities so bought back.	February 7, 2023
39.	SEBI (Infrastructure Investment Trusts) Regulations, 2014 [Regulation 26J]	Company Secretary in Practice to provide a Secretarial Compliance Report to the Investment Manager.	February 14, 2023
40.	SEBI (Real Estate Investment Trusts) Regulations, 2014 [Regulation 26 D]	Company Secretary in Practice to provide a Secretarial Compliance Report to the Manager.	February 14, 2023
41.	NSDL Byelaws 10.3.1	To conduct Internal Audit of operations of Depository Participants, at intervals of not more than three months and furnish a copy of the internal audit report to the Depository.	March, 1999
42.	CDSL Byelaws 16.3.1	To conduct Internal Audit of operations of Depository Participants, at such intervals as may be specified by CDSL from time to time and furnish a copy of the internal audit report to CDSL.	September, 1999

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43.	NSDL/Policy/2006/0021	To carry out internal Audit of Depository Participants which covers audit of the process of demat account opening, control and verification of Delivery Instruction Slips (DIS).	June 24, 2006
44.	CDSL/AUDIT/DP/721	To carry out Concurrent Audit of Depository Participants which covers audit of the process of demat account opening, control and verification of Delivery Instruction Slips (DIS).	July 11, 2006
45.	CDSL Letter No. CDSL/ADM/RK/2019/0853	To issue Net worth Certificate to be submitted by the issuers at the time of admitting securities in CDSL.	December 17, 2019
46.	NSDL Letter No. NSDL/II/MISC/DG/246/2020	To issue Net worth Certificate to be submitted by the issuers at the time of admitting securities in NSDL.	January 2, 2020
III. International Financial Services Centres Authority			
47.	IFSCA Circular No. 206/IFSCA/Anc. Aux/2020-21 on Framework for enabling Ancillary services at IFSC	To provide one or more permissible ancillary services within the IFSC.	February 10, 2021
48.	International Financial Services Centres Authority (Registration of Insurance Business) Regulations, 2021 (a) [FORM-B, Point 12- Certificate from CA / CS] (b) [FORM-C, Point 14- Certificate from CA/CS]	(a) To certify that all the requirements of the Act read with IFSCA (Registration of Insurance Business) Regulations, 2021 and notifications issued under section 2CA of the Act have been complied with by the applicant.	October 18, 2021

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		(b) To certify that all the requirements of the Act read with IFSCA (Registration of Insurance Business) Regulations, 2021 and notifications issued under section 2CA of the Act have been complied with by the applicant.	
49.	International Financial Services Centres Authority (Insurance Intermediary) Regulations, 2021 (a) [Regulation 13(6)] (b) [Regulation 22(3)] (c) [Schedule VI, FORM B, Point 12-Certificate from CA / CS]	(a) To certify the net worth of IFSC Insurance Intermediary Office (IIIO) on half yearly basis. (b) To certify compliance of Regulation 22-Ceiling on business from single client on annual basis. (c) To certify that all the requirements of the Act read with IFSCA (Insurance Intermediary) Regulations, 2021 and rules, circulars have been complied with by the applicant.	October 18, 2021
50.	International Financial Services Centres Authority (Capital Market Intermediaries) Regulations, 2021 (a) [Regulation 22(1)] (b) [Schedule I, Part I, Point 12]	(a) To conduct the annual audit of the registered capital market intermediary in respect of compliance with IFSCA (Capital Market Intermediaries) Regulations, 2021. (b) To issue Net-worth Certificate to the applicant willing to register as a capital market intermediary with the IFSCA.	October 18, 2021

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51.	International Financial Services Centres Authority (Bullion Exchange) Regulations, 2020 [Circular 29/IFSCA/DPM/TS/QJ/2021-22/1]	(a) To certify the average annual turnover and networth of the entity which is required to fulfil the conditions as mentioned in the said circular to act as "Qualified Jeweller". (b) To certify that 90% of the average annual turnover in the last 3 financial years is through dealing in goods under precious metals to fulfil condition to act as 'Qualified Jewellers' and be permitted to transact as trading members / clients of trading members, on IIBX.	January 19, 2022
52.	International Financial Services Centres Authority (Insurance Web Aggregator) Regulations, 2022 (a) [Regulation 8(5)] (b) [Schedule 2, Form B, Point 11]	(a) To certify the paid-up capital and networth requirements of the Insurance Web Aggregator on half yearly basis. (b) To certify that all the requirements of the Act read with IFSCA (Insurance Web Aggregator) Regulations, 2022 and rules, circulars have been complied with by the applicant.	January 31, 2022
53.	IFSCA (Bullion Exchange) Regulations, 2020 [Circular 415/IFSCA/Consolidated Operating Guidelines/2021-22]	To certify the Net-worth of eligible overseas entity required to fulfil the additional eligibility condition to act as "Qualified Supplier - Limited Purpose Trading Members (QS-LPTM).	August 18, 2022

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IV. TAXATION LAWS			
54.	Income Tax Act, 1961 and Income Tax Rules, 1962 [Rule 49(a) read with Rule 50(2A) and 288(2)(v)]	To Act as authorised Income Tax Practitioner.	July 21,1979
55.	Income-tax Act, 1961 and Income Tax Rules, 1962 [Section 288(2)(v) and Rule 50(2A)]	To appear as Authorised Representative before the Income-Tax Authorities.	July,1979
56.	The Customs Act, 1962 [Section 146A(2)(d)] read with Customs (Appeals) Rules, 1982 [Rule 9(c)] and the Central Excise Act, 1944 [Section 35Q(2)(c)] read with Central Excise (Appeals) Rules, 2001 [Rule 12(c)]	To act as Authorized Representative before the Customs, Excise and Service Tax Appellate Tribunal.	October, 1982
57.	Authority for advance Ruling, (Customs, Central Excise and Service Tax) Procedures Regulations, 2005 [Regulation 2(d)(i)]	To act as Authorized Representative before the Advance Ruling Authority.	January, 2005
58.	Arunachal Pradesh Goods Tax Act, 2005 and Arunachal Pradesh Goods Tax Rules, 2005 [Section 83(1)(c) read with Rule 78(1)(a)]	To Act as Authorised Representative before VAT authorities.	
59.	Bihar Value Added Tax Act, 2005 [Section 87(d)]	To appear before VAT authorities appointed under Section 10 or the Tribunal or an Officer of the Bureau of Investigation constituted under Section 86 of the Act.	April, 2005

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60.	West Bengal Value Added Tax Rules, 2005 [Rule 2(1)(a)(iv)]	To appear before Appellate and Revisional Board, the Commissioner, the Special Commissioner, the Additional Commissioner or any person appointed to assist the Commissioner on behalf of a dealer.	April, 2005
61.	Daman and Diu Value Added Tax Regulation, 2005 [Regulation 82(1)(b)]	To appear before any VAT authority in connection with any proceedings under this Regulation.	April, 2005
62.	Delhi Value Added Tax Act, 2004 [Section 82(1)(b)]	To appear before VAT Authorities.	April, 2009
63.	Goa Value Added Tax Act, 2005 [Section 82(1)(b)]	To appear before any VAT authority including the Tribunal in connection with any proceedings under this Act.	April, 2005
64.	Gujarat VAT Act, 2003 and Rules 2006 [Section 81(1) read with Rule 59(1)(a)]	To act as Authorized Representative.	
65.	Haryana VAT Act, 2003 [Sec 52(2)(iii) read with Section 288(2)(v) of Income Tax Act, 1961 and rule 50(2A) of Income Tax Rules, 1962]	To act as Authorised Representative before VAT authorities.	
66.	Jharkhand Value Added Tax Act, 2005 [Section 2(i)(e) read with Section 63 (1)]	To act as a tax practitioner to conduct VAT Audit.	February, 2006

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67.	Karnataka VAT Rules, 2005 (i) Rule 168(1) (ii) Rule 34(1) read with Rule 168(2)(c)(iv)	(i) To act as Authorized Representative. (ii) To conduct VAT Audit as a tax practitioner.	April, 2005
68.	Kerala Value Added Tax Act, 2003 [Section 86(1)(e) read with Rule 97(3)(a)]	To appear before VAT Authorities in connection with any proceedings under this Act.	April, 2009
69.	Maharashtra VAT Act, 2002 [Section 82(1)(b)]	To act as Authorised Representative before VAT Authorities.	April, 2013
70.	Meghalaya VAT Rules, 2005 [Rule 2(1)(f)(iv)]	To act as Authorised Representative before VAT authorities.	
71.	Rajasthan VAT Rules 2006 [Rule 61(a), 62(b) read with Section 288(2)(v) of Income-Tax Act, 1961 and Rule 49, Rule 50 (2A) of the Income Tax Rules, 1962]	To act as Authorised Representative before VAT authorities.	
72.	Uttar Pradesh VAT Rules, 2008 [Rule 2(1)(e) read with Rule 73]	To act as Authorised Representative before VAT authorities.	
73.	Tamil Nadu Value Added Tax Act, 2006 [Section 78(c)] read with Rule 17(2)(b)(i) (C) of the Tamil Nadu VAT Rules, 2007]	To act as Authorised Representative before VAT authorities.	December 15, 2006
74.	Customs Brokers Licensing Regulations, 2018 [Regulation 5(1)(h)(ii)]	To act as Customs Broker.	May 14, 2018

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75.	The Central Goods and Service Tax Act, 2017 and the Central Goods and Services Tax Rules, 2017 [Section 48(1) read along with Rule 83(1)(iv)(c)]	To act as GST Practitioner.	July, 2017
76.	The Central Goods and Service Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
77.	The Andhra Pradesh Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
78.	The Arunachal Pradesh Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
79.	The Assam Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
80.	The Bihar Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
81.	The Chhattisgarh Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017

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82.	The Delhi Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
83.	The Goa Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
84.	The Gujarat Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
85.	The Haryana Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
86.	The Himachal Pradesh Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
87.	The Jammu and Kashmir Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
88.	The Jharkhand Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
89.	The Karnataka Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017

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90.	The Kerala State Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	September, 2017
91.	The Madhya Pradesh Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
92.	The Maharashtra Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
93.	The Manipur Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
94.	The Meghalaya Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
95.	The Mizoram Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
96.	The Nagaland Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017

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97.	The Odisha Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
98.	The Puducherry Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
99.	The Punjab Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
100.	The Rajasthan Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
101.	The Sikkim Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
102.	The Tamil Nadu Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
103.	The Telangana Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
104.	The Tripura State Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017

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105.	The Uttarakhand Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
106.	The Uttar Pradesh Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
107.	The West Bengal Goods and Services Tax Act, 2017 [Section 116(2)(c)]	To appear as Authorised Representative.	July, 2017
108.	The Union Territory Goods and Services Tax Act, 2017 (Applicable to Andaman and Nicobar Islands, Lakshadweep, Dadra and Nagar Haveli and Daman and Diu, Ladakh, Chandigarh and Other Territory)	To Act as Authorised Representative.	July, 2017
V. OTHER LEGISLATIONS			
109.	The Gujarat Stamp (Payment of Duty by Means of E-Stamping) (Amendment) Rules, 2019 [Rule 13 (i)]	To be appointed as Authorised Collection Centre for E-Stamping.	August 23, 2019
110.	Para 9(1)(B)(i) of Schedule 1 to Notification No. FEMA 20/2000-RB	To issue certificate in case of an Indian company accepting investment from a foreign investor, thereby confirming compliance of Companies Act, 2013 and other matters.	May 3, 2000

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111.	Foreign Exchange Management (Transfer of Issue of Securities by a Person Resident Outside India) Regulations, 2000 [Regulation 8(2)(II)]	To certify under FDI policy on behalf of Indian companies accepting investment.	May 30, 2000
112.	Reserve Bank of India Circular DBOD No.BP. PC.46/08.12.001/ 2008-09	Diligence Report for Banks regarding compliance of various statutory prescriptions.	September 19, 2008
113.	{Foreign Exchange Management (Transfer or issue of Security by a Person Resident outside India) Regulations, 2000} FED Master Direction No. 18/2015-16	<ul style="list-style-type: none"> (i) To issue No Objection/Tax Clearance Certificate regarding transfer of capital contribution/ profit shares of a Limited Liability Partnership from resident to non-resident / non-resident to resident. (ii) Certification of Form ECB i.e application and reporting of loan agreement under Foreign Exchange management Act, 1999 (iii) Certification of Form ECB-2 i.e a monthly return for reporting of actual transactions of External Commercial Borrowings (ECB) under Foreign Exchange Management Act, 1999 	January 1, 2016

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114.	Foreign Trade Policy 2015-2020	<p>To certify the following:</p> <ul style="list-style-type: none"> (i) Certificate for issue of EPCG authorization (Appendix 26) Certificate for EPCG Redemption (Appendix 26A). (ii) Certificate showing sales turnover of ammunition (indigenous and imported) during the preceding three licensing years (Annexure ANF 2B). (iii) Certification in respect of application for grant of Status Certificate (ANF 3A). (iv) Certification in respect of application for Served from India Scheme (Annexure ANF 3B). (v) Certificate for Agriculture Infrastructure incentive scrip under VKGUY (Annexure to ANF 3D). 	June 30, 2019
115.	<p>Telecom Regulatory Authority of India (Amendment) Act, 2000</p> <p>[Section 17 and Explanation (b) thereto]</p>	To act as Authorized Representative before the Telecom Disputes Settlement and Appellate Tribunal.	March 25, 2000
116.	Department of Tele-communications vide No. 18-05/2009-CS-I	To certify the documents for other Service Providers (OSP) Registration for the entities which propose to provide the services such as Tele-banking, Tele-medicine, Tele-trading, E-commerce Call Centers, both International and Domestic, Network Operation Centers and Vehicle Tracking Systems etc.	January 12, 2016

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117.	Department of Tele-communications (Access Service Cell) [Notification No. 800-23/2011-VAS (Vol. II)].	To certify Registration along with Article of Association, Memorandum of Understanding, Details of Promoters/ Partner/ Shareholder, Net worth, Paid up Capital, Foreign Direct Investment in the company for the purpose of Application to Department of Telecommunications for Grant of Unified License (Virtual Network Operators)/ Authorisation for Additional Services.	May 31, 2016
118.	Guidelines for Grant of Unified License (Virtual Network Operators) (Point viii & X of Para 1, Annexure I & II) Department of Telecommunications	To certify Paid-up equity capital and net-worth.	May 31, 2016
119.	Policy guidelines for the Empanelment of Private FM Radio Stations and fixation of rates for Government Advertisements by DAVP - 2016 Ministry of Information and Broadcasting, Directorate of Advertising and Visual Publicity vide F.No. 22204/1/2013/EAC/AV (Part File Volume B)	To certify the prescribed revenue details, latest profit & loss accounts, balance sheet and actual tax payment including service tax for previous financial year and the amount of advertisement revenue generated by Private FM Radio Stations during the previous financial year preceding the date of application.	August 12, 2016
120.	PFRDA (Procedure for Inquiry by Adjudicating Officer) Regulations, 2015 [Regulation 7]	To act as Authorised Representative before the Adjudicating Officer or the Member.	January 6, 2015
121.	PFRDA (Internal Audit of Custodian of Securities) Guidance Note 2015] [Clause 1]	To conduct Internal Audit of Custodian of Securities on quarterly basis.	September 1,

Sl. No.	Statute/ Authority	Purpose	When Obtained
122.	PFRDA (Retirement Adviser) Regulations, 2016 [Regulation 4(2)(b)]	To act as Retirement Adviser.	June 13, 2016
123.	The IRDA (Registration of Indian Insurance Companies) Regulations, 2000 [Explanation to Regulation 10(2)(i)]	To certify that the applicant has complied with all the requirements relating to registration fees equity, share capital and other requirements of the Insurance Regulatory and Development Authority Act, 1999.	July, 2000
124.	IRDAI {Registration and Operations of International Financial Service Centre Insurance Offices (IIO)} Guidelines, 2017 [Clause 12] & [Clause 13]	To certify that all the requirements relating to processing fees, assigned capital, NOF and other requirements have been complied with by the applicant from a foreign country.	December 21, 2017
125.	Transparent Inspection Scheme Department of Labour, Government of Haryana [Clause 2, Part B]	To provide third party certification under Transparent Inspection Policy.	June 24, 2016
126.	Third Party Certification/Audit Scheme Department of Labour, Government of Haryana [Clause 2 (ii)]	To provide third party certification.	August 10, 2016
127.	Competition Act, 2002 [Section 35]	To act as Authorised Representative before the Competition Commission of India.	March 31, 2003
128.	Special Economic Zones Rules, 2006 [Rule 61]	To act as Authorized Representative before the Board of Approval Zones.	February, 2006

Sl. No.	Statute/ Authority	Purpose	When Obtained
129.	Central Electricity Regulatory Commission (Miscellaneous Provisions) Order, 2016	To act as Authorized Representative before the Central Electricity Regulatory Commission.	March 23, 2016
130.	Real Estate (Regulation and Development) Act, 2016 [Section 56]	To act as Authorised Representative before the Appellate Tribunal or the Regulatory Authority or the adjudicating officer, as the case may be.	March 26, 2016
131.	The Trade Marks Rules, 2017 [Rule 144]	To be registered as a Trade Marks Agent.	March 6, 2017
132.	Petroleum and Natural Gas Regulatory Board (Gas Exchange) Regulations, 2020 [Regulation 18(4)]	To certify the shareholding pattern of a Gas Exchange.	September 28, 2020
133.	Petroleum and Natural Gas Regulatory Board (Gas Exchange) Regulations, 2020 [Regulation 19(3)]	To certify the shareholding pattern of a Clearing Corporation.	September 28, 2020
134.	Consumer Protection (Direct Selling) Rules, 2021 [Rule 5(1)(g)]	To certify all the information provided by the direct selling entity on its website.	December 28, 2021
B. FOR COMPANY SECRETARY IN EMPLOYMENT			
135.	IRDAI (Corporate Governance) Guidelines for Insurers in India [Clause 11.4.2]	To be designated as Compliance Officer.	May 18, 2005

Sl. No.	Statute/ Authority	Purpose	When Obtained
136.	Companies Act, 2013 [Section 2(24)]	"Company Secretary" or "Secretary" means a company secretary as defined in clause (c) of sub-section (1) of section 2 of the Company Secretaries Act, 1980 who is appointed by a company to perform the functions of a company secretary under the Act.	September 12, 2013
137.	Companies Act, 2013 [Section 203(1)(ii) read with Rule 8 of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014]	To be appointed as Key Managerial Personnel.	September 12, 2013
138.	Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 [Rule 8A]	To be appointed as Whole-time Company Secretary	June 9, 2014 and January 3, 2020
139.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [Regulation 6]	To be appointed as Compliance Officer except for units issued by Mutual Funds.	September 2, 2015
140.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [Regulation 7(3)]	A Compliance Certificate to be submitted to the exchange, duly signed by both the Compliance Officer of the company and the Authorised Representative of the share transfer agent, wherever applicable, within thirty days from the end of the financial year, certifying that all activities in relation to both physical and electronic share transfer facility are maintained either in house or by RTA registered with SEBI.	September 2, 2015

Sl. No.	Statute/ Authority	Purpose	When Obtained
141.	SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 [Regulation 27(2)(c)]	The quarterly compliances report on corporate governance submitted by listed entity to the stock exchange shall be signed either by the Compliance Officer of the Chief Executive Officer of the listed entity.	September 2, 2015
142.	IRDA (Registration of Indian Insurance Companies) Regulation, 2000 [Regulation 2(1)(i)]	To be appointed as the Key Management Person.	February 22, 2016
143.	SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [Regulations 16(1)(d)]	Senior management shall specifically include Company Secretary excluding Board of Directors.	May 9, 2018
144.	SEBI's Circular SEBI/110/DDHS/ CIR/P/2018/ 144	The SEBI guidelines for fund raising by issuance of debt securities by Large Corporate (LC) mandates that the disclosures made by the LC to the Stock Exchange with respect to issuance of debt securities, shall be certified both by the Company Secretary and the Chief Financial Officer of the LC.	November 26, 2018
145.	Petroleum and Natural Gas Regulatory Board (Gas Exchange) Regulations, 2020 [Regulation 30(1)]	To be appointed as 'Compliance Officer' in every Gas Exchange or Clearing Corporation.	September 28, 2020
146.	IFSCA (Issuance and Listing of Securities) Regulations, 2021 [Regulation 130]	To be appointed as 'Compliance Officer' in the listed entity.	July 16, 2021

Sl. No.	Statute/ Authority	Purpose	When Obtained
147.	IFSCA (Insurance Intermediary) Regulations, 2021 [Regulation 3(1)(w)]	To be appointed as a "Key Managerial Personnel". "KMP" shall have the same meaning as assigned to it under Section 2(51) of Companies Act, 2013.	October 18, 2021
148.	IFSCA (Capital Market Intermediaries) Regulations, 2021 [Regulation 2(w)]	To be appointed as a "Key Managerial Personnel" of the Capital Market Intermediaries. The definition includes KMP as defined under the Companies Act, 2013 including within its ambit - a Company Secretary.	October 18, 2021
149.	IFSCA (Fund Management) Regulations, 2022 [Regulation 2(1)(x)]	To be appointed as a "Key Managerial Personnel" of the Fund Management Entity (FME). The definition includes KMP as defined under the Companies Act, 2013 including within its ambit - a Company Secretary.	April 19, 2022
150.	Life Insurance Corporation General Regulations, 2021 [Regulation 2 (1) (c)]	"Company Secretary" shall mean a person who is a member of the Institute of Company Secretaries of India, constituted under the Company Secretaries Act, 1980 (56 of 1980) and who is the head of the secretarial function of the Corporation.	July 22, 2021
151.	SEBI (Issue and Listing of Non-Convertible Securities) Regulations, 2021 [Regulation 27 (4)]	To be appointed as 'Compliance Officer'.	August 9, 2021

Sl. No.	Statute/ Authority	Purpose	When Obtained
152.	SEBI Circular No SEBI/HO/DDHS/DDHS-RACPOD1/P/CIR/2022/154 read along with Regulation 51A of the SEBI (Issue and Listing of Non-Convertible Securities) Regulations, 2021	To be appointed as Compliance Officer, for being providing the services of Online Bond Platform Provider (OBPP). Further, the entity has to appoint at least two qualified key managerial personnel with experience of at least three years in the securities market in which the qualification of Company Secretaryship has been specifically included in the definition of "Qualified".	November 14, 2022
153.	SEBI Circular No. SEBI/HO/DDHS/DDHS-RACPOD1/P/CIR/2022/156 read along with Regulation 59A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	A detailed Compliance Report is to be certified by the Company Secretary of the Company along with other senior management, in the format specified by the SEBI which is to be submitted to Stock Exchanges.	November 17, 2022
154.	SEBI (Buy-Back of Securities) Regulations, 2018 [Regulation 5 (ix)]	For the purpose of these regulations, all the filings to the Board shall be made only in electronic mode after being digitally signed by the company secretary or the person authorized by the board of the company.	February 7, 2023