

FAQ (Frequently Asked Questions)

Q1. What are the Corporate and Securities Markets Compliances –Executive (CSMC-Executive) Program and Corporate and Securities Markets Compliances – Professional (CSMC-Professional) Programs?

NISM and ICSI have jointly introduced two new certification programs: Corporate and Securities Markets Compliances – Executive (CSMC-Executive) and Corporate and Securities Markets Compliances – Professional (CSMC-Professional). These programs differ from the existing CS executive and CS professional programs offered by ICSI.

Both programs are independent certification programs. To enroll in the CSMC-Professional course, students will have to complete the CSMC-Executive course. However, students who have successfully cleared the CS executive program will be eligible to enroll in the Corporate and Securities Market Compliance-Professional program directly.

The course curriculum for both courses will include the following:

- i. The subjects of the Company Secretary Course (Executive & Professional) will be covered under the CSMC-Executive course and the CSMC-Professional course, respectively.
- ii. Additional specialized subjects like Overview of Securities Markets, Issuer Compliances, Intermediaries Compliances, Basic Derivatives, ESG, etc.
- iii. Few SEBI-mandated certifications.
- iv. Training in Simulation lab.
- v. Industry Exposure

Q2. If I want to be a company secretary, do I need to pass the CS Executive and CS Professional examinations independently of the CSMC-Executive and CSMC-Professional Programs?

Yes, since CSMC-Executive and CSMC-Professional Programs are separate from CS executive and CS professional programs. CS students are required to appear for the CS examinations (Executive/Professional) conducted by ICSI in the months of June and

December. Registration to these programs will not exempt CS students from ICSI examinations.

Q3. What is special about this Corporate and Securities Markets Compliances (CSMC-Professional) Program?

The CSMC Professional Program is a comprehensive one-year residential curriculum designed to provide students with exposure to a wide range of subjects covered in the CS professional program. In addition, the program includes added subjects related to Derivatives and securities market compliances. Our meticulously curated curriculum integrates contemporary industry standards with application-based teaching methodologies. Students benefit from immersive industry interactions that establish a robust foundation for career advancement in corporate and securities market compliances.

Graduates of the CSMC Professional Program are strategically positioned to excel in diverse compliance roles within listed companies, market infrastructure institutions, and financial intermediaries. The program delivers the specialized knowledge and practical experience required to navigate the complex regulatory landscape of corporate and securities markets.

The course curriculum incorporates insights from financial market experts from the country. The course will be delivered by a team comprising faculty from both the institutes, compliance professionals, regulators, and visiting faculty. The course aims to equip the students with the necessary skills and tools to excel in compliance roles.

Q4. What is the Eligibility Criteria for the CSMC- Professional program?

CS Executive passed Students and enrolled in the CS Professional Program offered by ICSI; or the students who have passed CSMC Executive from NISM are eligible to apply for this course.

Q5. Who should participate in the CSMC-Professional Program?

The CSMC (Professional) program serves as an excellent platform for individuals passionate about corporate and securities market compliance, aiming to acquire comprehensive knowledge and build a sustainable career in these fields. This program is designed for recent graduates, postgraduates, and seasoned professionals enrolled in the CS Professional program

who have a keen interest in compliance roles within listed companies and securities market stakeholders.

Q6. What is the total number of seats in the CSMC-Professional Program?

The program offers a total of 60 seats.

Q. 7 What will be the process of admission?

Admission to the CSMC (Professional) program involves the following steps:

Online Test and Interview: Candidates will undergo an online test and an online interview jointly conducted by the NISM (National Institute of Securities Markets) and ICSI (Institute of Company Secretaries of India).

Shortlisting: Based on the online test results, the top 180 candidates will be shortlisted.

Online Interview: Shortlisted candidates will then participate in an online interview.

Final Selection: The final selection for the top 60 candidates will be determined based on the candidates' performance in the interview.

However, CSMC Executive Passed Students from NISM shall get exemption from Written Test/Interview and can directly enrol themselves to CSMC Professional Programme subject to availability of seats. However, NISM reserves the right to disqualify any candidate on disciplinary grounds.

Q8. How the entrance examination will be conducted? What is the syllabus of the entrance exam?

An online entrance test will be conducted. The duration of the test will be 2 hours. The test will comprise 100 multiple-choice questions (MCQs) covering the following subjects.

- i) Jurisprudence, Interpretation & General Laws
- ii) Company Law & Practice
- iii) Corporate Accounting & Financial Management
- iv) Capital Market & Securities Laws
- v) Corporate Accounting & Financial Management

Q9. How the interview will be conducted? What is the syllabus of the interview?

An online interview will be conducted by a panel of 3 experts for 100 marks. Questions will be asked from the following subjects:

- i) Jurisprudence, Interpretation & General Laws
- ii) Company Law & Practice
- iii) Corporate Accounting & Financial Management
- iv) Capital Market & Securities Laws
- v) Corporate Accounting & Financial Management

Q10. Is distance learning available for CSMC-Professional?

Distance learning is not available for this CSMC Program as it's a 1-year full-time residential program at NISM Patalganga Campus.

Q11. Is there any upper age limit for the Program?

No, there is no upper age limit for the program.

Q 12. How can I apply?

Candidates are required to register themselves online at <https://apply.nism.ac.in/csmc-professional-form>

Step 1: New Registration:

Upon successful registration, a User ID and Password will be sent to the registered email ID and mobile number of the candidate.

Step 2: Application process:

Thereafter, candidates are required to log in and complete the application process by paying the registration fee. After making the payment of the application fee of Rs.500 /- the candidates are allowed to submit their application.

Q13. What is the application form fee?

Students can apply Online. The online procedure has to be done by paying the application form fee of Rs.500/- by any of these: – Debit Card/Credit Card/Net banking.

Q14.What is the fee for this CSMC (Professional) program?

The Fee structure is as follows;

<u>Fee Structure of CSMC- Professional Program</u>			
Particulars	First Instalment (Rs)	Second Instalment (Rs)	Total Fee (Rs)
Tuition fee	50000	50000	100000
Books, Study Material, Library Resources, etc.	10000	0	10000
Exam fee	5000	5000	10000
Sub Total	65,000	55,000	1,20,000
GST @ 18%	11,700	9,900	21,600
Accommodation Charges (Non-AC & twin occupancy)	30,250	30,250	60,500
GST@12%	3630	3630	7260
Mess Charges	34,650	34,650	69,300
GST@5%	1,733	1,733	3,465
Alumni Association Fund	2,000	-	2,000
Library Deposit (Refundable)	10,000	-	10,000
Hostel Deposit (Refundable)	10,000	-	10,000
Total Fees	1,68,963	1,35,163	3,04,125
Due Date	July 17, 2025	November 01, 2025	

- *There will be a caution deposit for the hostel of Rs 10000 and library of Rs 10000, which will be refunded after the completion of the program.*
 - *Cost of NISM certification shall be borne by the student.*
 - *Residential charges will be collected for 12 months starting from July 01, 2025. Students are allowed to occupy the hostels one day before the start of the program. Students have to vacate the hostel two days after the date of the last semester examination.)*
- * The fee is subject to change as mutually decided by ICSI and NISM as & when notified and applicable at the time of admission.*

Air Conditioning facilities can be arranged upon request, subject to additional charges, from March 15 to June 30. charges will be intimated separately.

Q15. Is there any educational loan available for the CSMC-Professional program?

No Educational Loan is available for this program. It is a self-finance Course.

Q16. Where does the CSMC-Professional program lead to?

CSMC (Professional) program can lead the successful participants to the following career pathways:

- **Listed Companies:** Role as a compliance professional.
- **Market Infrastructure Institutions:** Role as a compliance professional and compliance officer with Market Infrastructure Institutions including Stock Exchanges, Commodity Exchanges, Clearing Corporations and Depositories etc.
- **Intermediaries:** Role as a compliance professional with the primary and secondary market intermediaries

The objective of NISM for designing a program of this kind is “to create a cadre of compliance professionals”.

Q. 18 What if any student of CSMC fails to qualify for any of the subjects or mandatory certifications?

The opportunity to reappear will be provided to the concerned students.

Q19. May I visit the campus? If so, when?

Yes, you may visit campus any day from Monday – Friday between 9:30 A.M. – 5:30 P.M.

Please share the visiting details in advance by emailing csmc@nism.ac.in

Q20. What subjects will be covered in this CSMC-Professional Program?

This one-year program is divided into two semesters. The details of the subjects are mentioned in the prospectus.

Q21. What are the important dates?

Important dates:

S.No.	Particulars	Date
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01	Start Date for Application	25-04-2025
02	Last Date for Application	30-05-2025
03	Date of Online Entrance Examination	16-06-2025
06	Declaration of 1st Merit List	12-07-2025
08	Last date for payment of fee	26-07-2025
10	Commencement of Program	01-08-2025

Q22. For any specific query, whom should I contact?

You may contact the following persons for any specific query.

1. Admission helpdesk NISM: +91-8268002412
2. Admission helpdesk ICSI: 0120-4522000

ICSI Support Desk (Monday to Friday) (9.30 AM to 5.00 PM; Lunch Time 1.00 PM to 1.30 PM)
General Queries - 0120-4522000
Queries related to Student Services (CSEET, Student Registration, Post Registration, Classroom Teaching, SEFT, Examination Enrolment etc.)- 0120-4082162
Queries related to Dispatch of Material (CSEET Guide, Executive and Professional Programme Study Material, Publications etc.) - 0120-4082163
Queries related to Training (ODOP, EDP, CLDP, Long Term Training, Quarterly Report, Training Completion Certificate etc.) - 0120-4082164
Queries related to Membership & CSBF (ACS, FCS, CoP, Convocation etc.)- 0120-4082155

For Any **academic query**, you may also contact the following faculty members from NISM and ICSI.

1. Dr. Rajesh Kumar, Professor, NISM

Email: rajesh.kumar@nism.ac.in Tele: 02192-668412

2. Mr. Mahesh Airan , Assistant Director, ICSI

Email: mahesh.airan@icsi.edu Tele: 0120-4082138

3. Dr. Shreyas Vyas, Assistant Professor, NISM

Email: shreyas.vyas@nism.ac.in Tele ; 02192- 668385