



**THE INSTITUTE OF  
Company Secretaries of India**

**भारतीय कम्पनी सचिव संस्थान**

**IN PURSUIT OF PROFESSIONAL EXCELLENCE**

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PD, PP&S

## **Recognition to Company Secretary in Practice to conduct Internal Audit of Registrar and Share Transfer Agents (RTAs)**

We are pleased to share that, now a Company Secretary in Practice is authorised to conduct internal audit of RTAs on annual basis.

As you are kindly aware, Company Secretaries have been authorised by Govt., RBI, SEBI, IRDA and Stock Exchanges to issue various certifications and undertake audit of various market intermediaries.

In this direction, one more feather has been added to the cap of Company Secretaries by SEBI. SEBI has mandated that RTA has to undergo for compulsory internal audit for which a PCS is authorised by SEBI to carry out the internal audit at par with other professionals.

The ICSI is in process of organising a series of capacity building knowledge sessions on this opportunity. Meanwhile, we will be pleased to hear from you with your valuable feedback and suggestions.

[https://www.sebi.gov.in/legal/circulars/apr-2018/strengthening-the-guidelines-and-raising-industry-standards-for-rta-issuer-companies-and-banker-to-an-issue\\_38749.html](https://www.sebi.gov.in/legal/circulars/apr-2018/strengthening-the-guidelines-and-raising-industry-standards-for-rta-issuer-companies-and-banker-to-an-issue_38749.html)